

Havey Adam  
Form 4  
October 17, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
Estimated average  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Havey Adam

(Last) (First) (Middle)

400 PROFESSIONAL DR, SUITE  
400

(Street)

GAITHERSBURG, MD 20879

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
Emergent BioSolutions Inc. [EBS]

3. Date of Earliest Transaction  
(Month/Day/Year)  
10/15/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

EVP, Business Operations

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/15/2018		M	Amount <u>6,165</u> (1)	(A) or (D) A \$ 25.62	36,079	D
Common Stock	10/15/2018		S	Amount <u>6,165</u> (1)	(A) or (D) D \$ 60.1549 (2)	29,914	D
Common Stock	10/15/2018		M	Amount <u>6,165</u> (1)	(A) or (D) A \$ 25.62	36,079	D
Common Stock	10/15/2018		S	Amount <u>6,165</u> (1)	(A) or (D) D \$ 60.0293 (3)	29,914	D
	10/15/2018		S	661 <u>(1)</u>	(A) or (D) D \$ 60.67	29,253	D

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Common  
Stock

Common Stock	10/16/2018	M	<u>6,165</u> (1)	A	\$ 25.62	35,418	D
Common Stock	10/16/2018	S	<u>6,165</u> (1)	D	\$ 61.5	29,253	D
Common Stock	10/16/2018	M	<u>3,840</u> (1)	A	\$ 25.62	33,093	D
Common Stock	10/16/2018	S	<u>3,840</u> (1)	D	\$ 63.5	29,253	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. I Der Sec (In
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy) <sup>(4)</sup>	\$ 25.62	10/15/2018		M	<u>6,165</u> (1)	<u>(5)</u>	03/10/2021	Common Stock	6,165	
Stock Option (Right to Buy) <sup>(4)</sup>	\$ 25.62	10/15/2018		M	<u>6,165</u> (1)	<u>(5)</u>	03/10/2021	Common Stock	6,165	
Stock Option (Right to Buy) <sup>(4)</sup>	\$ 25.62	10/16/2018		M	<u>6,165</u> (1)	<u>(5)</u>	03/10/2021	Common Stock	6,165	
Stock Option	\$ 25.62	10/16/2018		M	<u>3,840</u> (1)	<u>(5)</u>	03/10/2021	Common Stock	3,840	

(Right to  
Buy) <sup>(4)</sup>

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Havey Adam 400 PROFESSIONAL DR, SUITE 400 GAITHERSBURG, MD 20879			EVP, Business Operations	

## Signatures

/s/ S. Scott

Lieberman

10/17/2018

    Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by Mr. Havey.
- (2) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$59.31 to \$60.67, inclusive.
- (3) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$59.50 to \$60.67, inclusive.
- (4) Consists of an option granted under the company's stock incentive plan as amended and restated.
- (5) The option grant vested in three equal installments on the day prior to the first, second and third anniversary dates of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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