

Satterthwaite Tony
Form 4
November 05, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Satterthwaite Tony

2. Issuer Name and Ticker or Trading Symbol
CUMMINS INC [CMI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
500 JACKSON STREET

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/05/2018

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
President - Distribution

COLUMBUS, IN 47201

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common	11/05/2018		M	V A	830 \$ 19.42	40,371	D
Common	11/05/2018		S	D	830 \$ 141.6056	39,541	D
Common					2,585.95 ⁽¹⁾	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Edgar Filing: Satterthwaite Tony - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right-to-Buy)	\$ 19.42	11/05/2018		M	830	03/02/2011	03/02/2019	Common	830
Stock Option (Right-to-Buy)	\$ 58.115					03/01/2012	03/01/2020	Common	8,45
Stock Option (Right-to-Buy)	\$ 119.77					05/02/2013	05/02/2021	Common	8,15
Stock Option (Right-to-Buy)	\$ 120.28					04/02/2014	04/02/2022	Common	9,19
Stock Option (Right-to-Buy)	\$ 111.84					04/02/2015	04/02/2023	Common	11,92
Stock Option (Right-to-Buy)	\$ 149.34					04/02/2017	04/02/2024	Common	8,91
Stock Option (Right-to-Buy)	\$ 136.82					04/02/2018	04/02/2025	Common	11,17
Stock Option (Right-to-Buy)	\$ 109.09					04/04/2019	04/04/2026	Common	24,25
Stock Option (Right-to-Buy)	\$ 149.72					04/03/2020	04/03/2027	Common	15,63
Stock Option (Right-to-Buy)	\$ 160.1					04/03/2021	04/03/2028	Common	10,86

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Satterthwaite Tony 500 JACKSON STREET COLUMBUS, IN 47201			President - Distribution	

Signatures

/s/ Mark Sifferlen,
Attorney-In-Fact

11/05/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The number of shares is based on the dollar value of the reporting person's interest in the Cummins Stock Fund under the Company's (1) 401(k) plan as most recently provided by the plan. The actual number of shares underlying the interest is not known since the Cummins Stock Fund is a unitized account consisting of approximately 98% common stock and 2% cash or cash equivalents.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.