

LEVINE ALAN B  
Form 4  
February 15, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LEVINE ALAN B

(Last) (First) (Middle)

102 WILLENBROCK ROAD, ONE  
TRIBOLOGY CENTER

(Street)

OXFORD, CT 06478

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
RBC Bearings INC [ROLL]

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/14/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V Amount (A) or (D) Price   |   |  |                                   |
| Common Stock                    | 02/14/2018                           |  | M                              | 500 A \$ 44.6   | 20,520 <sup>(1) (2)</sup> <sub>(3)</sub>  | D  |                                   |
| Common Stock                    | 02/14/2018                           |  | S                              | 500 D \$ 115.7  | 20,020 <sup>(1) (2)</sup> <sub>(3)</sub>  | D  |                                   |
| Common Stock                    | 02/14/2018                           |  | M                              | 2,000 A \$ 51.08  | 22,020 <sup>(1) (2)</sup> <sub>(3)</sub>  | D  |                                   |
| Common Stock                    | 02/14/2018                           |  | S                              | 2,000 D \$ 115.7  | 20,020 <sup>(1) (2)</sup> <sub>(3)</sub>  | D  |                                   |
| Common Stock                    | 02/14/2018                           |  | M                              | 1,200 A \$ 64.15  | 21,220 <sup>(1) (2)</sup> <sub>(3)</sub>  | D  |                                   |

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|              |            |   |       |   |          |   |   |
|--------------|------------|---|-------|---|----------|---|---|
| Common Stock | 02/14/2018 | S | 1,200 | D | \$ 115.7 | 20,020 <sup>(1) (2)</sup><br><u>(3)</u> | D |
| Common Stock | 02/14/2018 | M | 800   | A | \$ 72.83 | 20,820 <sup>(1) (2)</sup><br><u>(3)</u> | D |
| Common Stock | 02/14/2018 | S | 800   | D | \$ 115.7 | 20,020 <sup>(1) (2)</sup><br><u>(3)</u> | D |
| Common Stock | 02/14/2018 | M | 400   | A | \$ 72.94 | 20,420 <sup>(1) (2)</sup><br><u>(3)</u> | D |
| Common Stock | 02/14/2018 | S | 400   | D | \$ 115.7 | 20,020 <sup>(1) (2)</sup><br><u>(3)</u> | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                      | Amount or Number of Shares |
| Option to Purchase Common Stock            | \$ 44.6  | 02/14/2018                           |  | M                              | 500   | 06/04/2013 <sup>(4)</sup>                                | 06/04/2019  | Common Stock               | 500                        |
| Option to Purchase Common Stock            | \$ 51.08   | 02/14/2018                           |  | M                              | 2,000   | 06/14/2014 <sup>(4)</sup>                                | 06/14/2020  | Common Stock               | 2,000                      |
| Option to Purchase Common Stock            | \$ 64.15   | 02/14/2018                           |  | M                              | 1,200   | 07/01/2015 <sup>(5)</sup>                                | 07/01/2021  | Common Stock               | 1,200                      |
| Option to Purchase Common Stock            | \$ 72.83   | 02/14/2018                           |  | M                              | 800   | 07/01/2016 <sup>(6)</sup>                                | 07/01/2022  | Common Stock               | 800                        |

Stock

Option to  
Purchase  
Common  
Stock

\$ 72.94

02/14/2018

M

400

07/08/2017<sup>(7)</sup>

07/08/2023

Common  
Stock

400

Option to  
Purchase  
Common  
Stock

\$ 99.64

06/27/2018<sup>(8)</sup>

06/27/2024

Common  
Stock

2,000

## Reporting Owners

| Reporting Owner Name / Address | Relationships |           |         |       |
|--------------------------------|---------------|-----------|---------|-------|
|                                | Director      | 10% Owner | Officer | Other |

LEVINE ALAN B  
102 WILLENBROCK ROAD  
ONE TRIBOLOGY CENTER  
OXFORD, CT 06478

## Signatures

/s/Thomas J. Williams /attorney  
in fact

02/15/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 1,250 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule 1/3 vest on 6/27/2018, 1/3 vest on 6/27/2019, 1/3 vest on 6/27/2020. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

(2) 416 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse on 7/1/2018. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

(3) 833 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule- 1/2 vest on 7/8/2018 and 1/2 vest on 7/8/2019. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

(4) Options to purchase shares of Common Stock vest on 6/14/2018. Options expire 7 years from grant date.

(5) Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/2 vest on 7/1/2018 and 1/2 vest on 7/1/2019. Options expire 7 years from grant date

(6) Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/3 vest on 7/1/2018, 1/3 vest on 7/1/2019 and 1/3 vest on 7/1/2020. Options expire 7 years from grant date.

(7) Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/4 vest on 7/8/2018, 1/4 vest on 7/8/2019, 1/4 vest on 7/8/2020 and 1/4 vest on 7/8/2021. Options expire 7 years from grant date.

(8) Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/5 vest on 6/27/2018, 1/5 vest on 6/27/2019, 1/5 vest on 6/27/2020, 1/5 vest on 6/27/2021 and 1/5 vest on 6/27/2022. Options expire 7 years from grant date.

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