

Edgar Filing: Navios Maritime Acquisition CORP - Form SC 13G/A

Navios Maritime Acquisition CORP
Form SC 13G/A
January 29, 2019

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934

(Amendment No. 8)*

Navios Maritime Acquisition Corp.
(Name of Issuer)

Common Stock, par value \$.0001 per share
(Title of Class of Securities)

Y62159101
(CUSIP Number)

December 31, 2018
(Date of Event which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☐ Rule 13d-1(b)

☒ Rule 13d-1(c)

☐ Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

Edgar Filing: Navios Maritime Acquisition CORP - Form SC 13G/A

The information required in the remainder of this cover page shall not be deemed to be “filed” for the purpose of Section 18 of the Securities Exchange Act of 1934 (“Act”) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

Persons who respond to the collection of information contained

in this form are not required to respond unless the form

displays a currently valid OMB control number.

Page 1 of 5

CUSIP No. Y62159101 13GPage 2 of 5 Pages

NAMES OF REPORTING PERSONS

1.

A. Lawrence Carroll Trust

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* (a) o

2.

(see instructions)

(b) x

3. SEC USE ONLY

4. CITIZENSHIP OR PLACE OF ORGANIZATION

Florida, USA

NUMBER OF	5.	SOLE VOTING POWER
SHARES	975,000	
BENEFICIALLY	6.	SHARED VOTING POWER
OWNED BY	0	
EACH	7.	SOLE DISPOSITIVE POWER
REPORTING	975,000	
PERSON	8.	SHARED DISPOSITIVE POWER
WITH	0	

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

975,000

10. CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES* o

(see instructions)

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

11.

7.38%

12. TYPE OF REPORTING PERSON* (see instructions)

OO

CUSIP No Y62159101 13G Page 3 of 5 Pages

Item 1(a). Name of Issuer:

Navios Maritime Acquisition Corporation

Address of Issuer's Principal Executive Offices:

Item 1(b).

85 Akti Miaouli Street

Piraeus, Greece 185 38

Item 2(a). Name of Person Filing:

A. Lawrence Carroll Trust

Item 2(b). Address of Principal Business Office, or if None, Residence:

415 L'Ambiance Drive, #804

Longboat Key, FL 34228

Item 2(c). Citizenship:

US

Item 2(d). Title of Class of Securities:

Common Stock, par value \$.0001 per share

CUSIP Number:

Item 2(e).

Y62159101

Item 3. If this statement is filed pursuant to Sections 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

(a) ☐ Broker or dealer registered under Section 15 of the Act (15 U.S.C. 78o).

(b) ☐ Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).

(c) ☐ Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).

(d) ☐ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C 80a-8).

(e) ☐ An investment adviser in accordance with Section 240.13d-1(b)(1) (ii)(E);

(f) ☐ An employee benefit plan or endowment fund in accordance with Section 240.13d-1(b)(1)(ii)(F);

(g) ☐ A parent holding company or control person in accordance with Section 240.13d-1(b)(1)(ii)(G);

Edgar Filing: Navios Maritime Acquisition CORP - Form SC 13G/A

- (h) o A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) o A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j) o Group, in accordance with Section 240.13d-1(b)(1)(ii)(J).

CUSIP No. Y62159101 13G Page 4 of 5 Pages

Item 4. Ownership.

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

- (a) Amount beneficially owned: 975,000 shares of Common Stock
- (b) Percent of class: 7.38%
- (c) Number of shares as to which such person has:
 - (i) Sole power to vote or to direct the vote 975,000
 - (ii) Shared power to vote or to direct the vote -0-
 - (iii) Sole power to dispose or to direct the disposition of 975,000
 - (iv) Shared power to dispose or to direct the disposition of -0-

Item 5. Ownership of Five Percent or Less of a Class.

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities check the following “.

Item 6. Ownership of More Than Five Percent on Behalf of Another Person.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person.

Item 8. Identification and Classification of Members of the Group.

Item 9. Notice of Dissolution of Group.

Item 10. Certifications.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

January 29, 2019
(Date)

A. LAWRENCE CARROLL TRUST

By: /s/ A. Lawrence Carroll
(Signature)

A. Lawrence Carroll, Trustee
(Name/Title)

Note. Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See Rule 13d-7 for other parties for whom copies are to be sent.

Attention. Intentional misstatements or omissions of fact constitute federal criminal violations (see 18 U.S.C. 1001).