HSBC HOLDINGS PLC

Form 6-K September 30, 2016
FORM 6-K
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
Report of Foreign Private Issuer
Pursuant to Rule 13a - 16 or 15d - 16 of
the Securities Exchange Act of 1934
For the month of September
HSBC Holdings plc
42nd Floor, 8 Canada Square, London E14 5HQ, England
(Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F).
Form 20-F X Form 40-F
(Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934).
Yes No X
(If "Yes" is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b): 82).

### HSBC HOLDINGS PLC

### 30 September 2016

Notification of Transactions of Persons Discharging Managerial Responsibilities ("PDMRs") and persons closely associated with the PDMRs

The following acquisitions relating to dividends of US\$0.50 ordinary shares (the "Shares") took place on 28 September 2016.

Name	Price per Share / ADS1	Shares acquired
Kathleen Casey	US\$35.50751	23 American Depositary Shares1 (representing 115 ordinary shares of US\$0.50 each)
Henri de Castries	US\$7.1015	221
Douglas Flint	£5.7850	66
Stuart Gulliver	US\$7.1015	44,302
Sam Laidlaw	US\$7.1015	541
Iain Mackay	US\$7.1015	20,465
Heidi Miller	US\$35.50751	10 American Depositary Shares1 (representing 50 ordinary shares of US\$0.50 each)
Marc Moses	US\$7.1015	24,702
Jackson Tai	US\$35.50751	44 American Depositary Shares1 (representing 220 ordinary shares of US\$0.50 each)

Other PDMRs		
Name	Price per Share	Shares acquired
Samir Assaf	US\$7.1015	27,932
Peter Boyles Patrick Burke	US\$7.1015 £5.7850 US\$7.1015	7,078 2,351 6,542
I dulck Dulke	£5.7850	1,624
John Flint	US\$7.1015	8,778
Andy Maguire	US\$7.1015	3,158
Paulo Maia	US\$7.1015 £5.7850 £5.7793	3,225 1,844 4
Noel Quinn	US\$7.1015	4,903
Antonio Simoes	US\$7.1015	5,147
Peter Wong	US\$7.1015 £5.7850	32,244 9,292

Persons closely associated ("CAP") with the PDMR

Name Price per Share Shares acquired

Camay Wong US\$7.1015

(CAP of Peter Wong)

315

1American Depositary Shares ('ADS') are categorised as equity derivatives under Part XV of the Securities and Futures Ordinance of Hong Kong. Each ADS represents five HSBC Holdings plc ordinary shares. ADS are traded in New York.

The following disclosures are made in accordance with Article 19 of the EU Market Abuse Regulation 596/2014.

1 - Details of the person discharging managerial responsibilities / person closely associated

Name of natural person Kathleen Casey

2 - Reason for the notification

Position/status Non-executive Director

**Initial Notification** 

Initial notification/amendment

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or

auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

Transaction(s) summary table

Date of Transaction Financial Instrument Identification Code Place of Transaction Currency

American Depositary Shares ('ADS'). Each ADS

2016-09-28 represents five GB0005405286 Outside a trading USD – United venue States Dollar

Holdings plc ordinary shares of US\$0.50 each

Nature of Transaction: Price Volume Total

\$35.51 23 \$816.67

Acquisition Aggregated \$35.508 23 \$816.67

1 - Details of the person discharging managerial responsibilities / person closely associated

Name of natural person Henri de Castries

2 - Reason for the notification

Position/status Non-executive Director

Initial notification/amendment

**Initial Notification** 

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or

auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

Transaction(s) summary table

Date of Transaction	Financial	Identification Code		Place of	Currency
Date of Transaction	Instrument			Transaction	Currency
	Ordinary			London Stock	HCD United
2016-09-28	shares of	GB0005405286		Exchange, Main Market (XLON)	States Dellar
	US\$0.50 each			Market (XLON)	States Dollar
Nature of Transaction	n:	Pri	ice	Volume	Total

\$7.10 221 \$1,569.43 Acquisition Aggregated \$7.102 221 \$1,569.43

1 - Details of the person discharging managerial responsibilities / person closely associated Name of natural person Douglas Flint

2 – Reason for the notification

Position/status Group Chairman

Initial notification/amendment

**Initial Notification** 

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer

auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

Transaction(s) summary table

Date of Transaction	Financial	Identification Code	Place of	Currency
	Instrument	Identification Code	Transaction	Currency
2016-09-28	Ordinary	GB0005405286	London Stock	GBP – British
	shares of		Exchange, Main	Pound

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US\$0.50 each		Market (XLON)		
Nature of Transaction:		Price	Volur	ne Total
		£5.79	66	£381.81
Acquisition	Aggregated	£5.785	66	£381.81

1 - Details of the person discharging managerial responsibilities / person closely associated

Name of natural person Stuart Gulliver

2 – Reason for the notification

Position/status Group Chief Executive

Initial notification/amendment

**Initial Notification** 

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or

auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

Transaction(s) summary table

Date of Transaction Financial Instrument Identification Code Place of Transaction Currency

Ordinary London Stock

2016-09-28 shares of GB0005405286 Exchange, Main B U

US\$0.50 each Market (XLON) Dollar

Nature of Transaction: Price Volume Total

\$7.10 44,302 \$314,610.65

Acquisition Aggregated \$7.102 44,302 \$314,610.65

1 - Details of the person discharging managerial responsibilities / person closely associated

Name of natural person Sam Laidlaw

2 – Reason for the notification

Position/status Non-executive Director

Initial notification/amendment

**Initial Notification** 

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or

auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

Transaction(s) summary table

Date of Transaction Financial Instrument Identification Code Place of Transaction Currency

Ordinary London Stock

2016-09-28 shares of GB0005405286 Exchange, Main Stock USD – United Exchange, Main USD – United Exchange – United Ex

US\$0.50 each

Market (XLON)

States Dollar

Nature of Transaction:		Price	Volume Total	
		\$7.10	541	\$3,841.91
Acquisition	Aggregated	\$7.102	541	\$3,841.91

1 - Details of the person discharging managerial responsibilities / person closely associated

Name of natural person Iain Mackay

2 – Reason for the notification

Position/status Group Finance Director

Initial notification/amendment

**Initial Notification** 

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or

auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 – Details of the transaction(s)

Transaction(s) summary table

Date of Transaction Financial Instrument Identification Code Place of Transaction Currency

Ordinary London Stock

2016-09-28 shares of GB0005405286 Exchange, Main D II

US\$0.50 each Market (XLON)

Nature of Transaction: Price Volume Total

\$7.10 20,465 \$145,332.20

Acquisition Aggregated \$7.102 20,465 \$145,332.20

1 – Details of the person discharging managerial responsibilities / person closely associated

Name of natural person Heidi Miller

2 - Reason for the notification

Position/status Non-executive Director

Initial notification/amendment

Initial Notification

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or

auction monitor

Full name of the entity HSBC Holdings plc

MLU0ZO3ML4LN2LL2TL39

Legal Entity Identifier code

4 - Details of the transaction(s)

Transaction(s) summary table

Date of Transaction Financial Identification Code Place of Transaction Currency

2016-09-28 American GB0005405286 Outside a trading USD – United

Depositary venue States Dollar

Shares

('ADS'). Each ADS represents five HSBC Holdings plc ordinary shares of US\$0.50 each.

Nature of Transaction:		Price	Volume Total		
		\$35.51	10	\$355.08	
Acquisition	Aggregated	\$35.508	10	\$355.08	

1 - Details of the person discharging managerial responsibilities / person closely associated

Name of natural person Marc Moses

2 – Reason for the notification

Position/status Group Chief Risk Officer

Initial notification/amendment

**Initial Notification** 

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or

auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 – Details of the transaction(s)

Transaction(s) summary table

Financial Place of Date of Transaction **Identification Code** Currency Instrument Transaction

Ordinary

London Stock shares of USD - United States 2016-09-28 GB0005405286 Exchange, Main Dollar

US\$0.50 Market (XLON) each

Nature of Transaction: Price Volume Total

> \$7.10 24,702 \$175,421.25

Aggregated \$7.102 24,702 \$175,421.25 Acquisition

1 – Details of the person discharging managerial responsibilities / person closely associated Name of natural person Jackson Tai

2 - Reason for the notification

Position/status Non-executive Director

Initial notification/amendment

3 – Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity HSBC Holdings plc

MLU0ZO3ML4LN2LL2TL39 Legal Entity Identifier code

4 - Details of the transaction(s)

Transaction(s) summary table

Date of Place of Currency Transaction Financial Instrument **Identification Code** Transaction 2016-09-28 American Depositary Shares ('ADS'). Each ADS represents GB0005405286 Outside USD a trading United five HSBC Holdings plc ordinary shares of US\$0.50 each.

venue States

**Initial Notification** 

			Dollar
Nature of Transaction:		Price	Voluffietal
		\$35.51	44 \$1,562.33
Acquisition	Aggregated	\$35.508	44 \$1,562.33

1 - Details of the person discharging managerial responsibilities / person closely associated

Name of natural person Samir Assaf

2 – Reason for the notification

Position/status Chief Executive, Global Banking and Markets

Initial notification/amendment Initial Notification

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or

auction monitor

Full name of the entity

HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 – Details of the transaction(s)

Transaction(s) summary table

Date of Transaction Financial Instrument Identification Code Place of Transaction Currency

Transaction

London Stock

2016-09-28 Ordinary shares of US\$0.50 each GB0005405286 Exchange, USD – United States

Main Market Dollar

(XLON)

Nature of Transaction: Price Volume Total

\$7.10 27,932 \$198,359.10

Acquisition Aggregated \$7.102 27,932 \$198,359.10

1 – Details of the person discharging managerial responsibilities /

person closely associated

Name of natural person Peter Boyles

2 - Reason for the notification

Position/status Chief Executive of Global Private

Banking

Initial Initial Notification

notification/amendment

3 – Details of the issuer, emission allowance market participant,

auction platform, auctioneer or auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

Transaction(s) summary table

Date of Transaction Financial Instrument Identification Code Place of Transaction Currency

London Stock

2016-09-28 Ordinary shares of US\$0.50 each GB0005405286 Exchange, Main B

Montret (VI ON) Pound

Market (XLON)

Nature of Transaction: Price Volume Total £5.79 2,351 £13,600.62 Acquisition Aggregated £5.785 2,351 £13,600.62

Place of Transaction Identification Code Date of Transaction Financial Instrument Currency

Exchange, Main Market (XLON)

USD – United States Dollar Ordinary shares of US\$0.50 each GB0005405286 2016-09-28

Volume Total Nature of Transaction: Price

> \$7.10 7,078 \$50,264.42

Acquisition Aggregated \$7.102 7,078 \$50,264.42

1 - Details of the person discharging managerial responsibilities / person closely associated Name of natural person Patrick Burke

2 – Reason for the notification

Position/status President and Chief Executive of HSBC US

Initial notification/amendment

**Initial Notification** 

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 – Details of the transaction(s)

Transaction(s) summary table

Place of Date of Transaction Financial Instrument **Identification Code** Currency Transaction

London Stock

GBP - British 2016-09-28 Ordinary shares of US\$0.50 each GB0005405286 Exchange, Main

Pound Market (XLON)

Nature of Transaction: Volume Total Price

> £5.79 1,624 £9,394.90

£5.785 1,624 £9,394.90 Acquisition Aggregated

Place of Date of Transaction Financial Instrument **Identification Code** Currency Transaction

London Stock USD - United 2016-09-28 Ordinary shares of US\$0.50 each GB0005405286 Exchange, Main

States Dollar Market (XLON)

Nature of Transaction: Price Volume Total

> 6,542 \$7.10 \$46,458.01

Acquisition Aggregated 6,542 \$46,458.01 \$7.102

1 – Details of the person discharging managerial responsibilities / person closely associated

Name of natural person John Flint

2 - Reason for the notification

Chief Executive, Retail Banking and Wealth Position/status

Management

**Initial Notification** 

Initial notification/amendment

3 – Details of the issuer, emission allowance market participant, auction platform, auctioneer

or auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

Transaction(s) summary table

Date of Transaction	Financial Instrument	Identification	Code	Place of Transaction	Currency
2016-09-28	Ordinary shares of US\$0.50 each	GB000540528		London Stock Exchange, Main Market (XLON)	USD –United States Dollar
Nature of Transaction	n:		Price	Volume	Total
			\$7.10	8,778	\$62,336.97
Acquisition		Aggregated	\$7.102	8,778	\$62,336.97

1 - Details of the person discharging managerial responsibilities / person closely associated

Name of natural person Andy Maguire

2 – Reason for the notification

Position/status **Group Chief Operating Officer** 

Initial notification/amendment

**Initial Notification** 

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or

auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 – Details of the transaction(s)

Transaction(s) summary table

Financial Place of Date of Transaction **Identification Code** Currency Transaction Instrument Ordinary London Stock USD - United 2016-09-28 shares of GB0005405286 Exchange, Main States Dollar US\$0.50 each Market (XLON) Nature of Transaction: Volume Total Price

\$7.10 3.158 \$22,426.54 \$7.102 3.158 \$22,426.54 Acquisition Aggregated

1 – Details of the person discharging managerial responsibilities /

person closely associated

Name of natural person Paulo Maia

2 - Reason for the notification

Position/status Chief Executive, Latin America

Initial Notification Initial

notification/amendment

3 – Details of the issuer, emission allowance market participant,

auction platform, auctioneer or auction monitor

HSBC Holdings plc Full name of the entity

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

Transaction(s) summary table

Place of Date of Transaction Financial Instrument **Identification Code** Currency Transaction 2016-09-28 Ordinary shares of US\$0.50 each GB0005405286 London Stock GBP - British Exchange, Main Pound

			Market (XI	LON)	
Nature of Transaction	n:		Price	Volum	e Total
			£5.79	1,844	£10,667.61
Acquisition		Aggregated	£5.785	1,844	£10,667.61
Date of Transaction	Financial Instrument	Identification Co	Place of Transaction London Sto	1	ırrency
2016-09-28	Ordinary shares of US\$0.50 each	GB0005405286	Exchange, Market (XI	Main GI	BP – British und
Nature of Transactio	n:		Price	Volum	e Total
			£5.78	4	£23.12
Acquisition		Aggregated	£5.779	4	£23.12
Date of Transaction	Financial Instrument	Identification Co	Place of Transaction London Sto	1 ock	arrency
2016-09-28	Ordinary shares of US\$0.50 each	GB0005405286	Exchange, Market (XI	Main Sta	SD – United ates Dollar
Nature of Transaction	on:		Price	Volum	e Total

\$7.10

\$7.102

Aggregated

3,225

3,225

\$22,902.34

\$22,902.34

Acquisition

1 - Details of the person discharging managerial responsibilities / person closely associated

Name of natural person Noel Quinn

2 – Reason for the notification

Position/status Chief Executive, Global Commercial Banking

Initial notification/amendment

**Initial Notification** 

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer

auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 – Details of the transaction(s)

Transaction(s) summary table

Financial Place of Date of Transaction **Identification Code** Currency Instrument Transaction

Ordinary

London Stock shares of USD - United GB0005405286 Exchange, Main 2016-09-28 US\$0.50 States Dollar Market (XLON)

each

Nature of Transaction: Price Volume Total

> \$34,818.65 \$7.10 4,903

\$7.102 4,903 \$34,818.65 Acquisition Aggregated

1 – Details of the person discharging managerial responsibilities / person closely associated Antonio Simoes

Name of natural person

2 - Reason for the notification

Position/status Chief Executive, HSBC Bank plc

Initial notification/amendment

**Initial Notification** 

3 – Details of the issuer, emission allowance market participant, auction platform, auctioneer or

auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

Transaction(s) summary table

Financial Place of Date of Transaction **Identification Code** Currency Instrument Transaction

> **Ordinary** London Stock

USD - United shares of Exchange, Main 2016-09-28 GB0005405286

States Dollar US\$0.50 each Market (XLON)

Nature of Transaction: Volume Total Price

		\$7.10	5,147	\$36,551.42
Acquisition	Aggregated	\$7.102	5,147	\$36,551.42

1 - Details of the person discharging managerial responsibilities / person closely associated

Name of natural person Peter Wong

2 – Reason for the notification

Deputy Chairman and Chief Executive, The Position/status Hongkong and Shanghai Banking Corporation

Limited

**Initial Notification** 

Initial notification/amendment

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer

or

auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 – Details of the transaction(s)

Transaction(s) summary table

Financial Place of Date of Transaction **Identification Code** Currency Instrument Transaction

Ordinary

London Stock shares of GBP - British Exchange, Main 2016-09-28 GB0005405286

US\$0.50 Pound Market (XLON)

each

Nature of Transaction: Price Volume Total

> £5.79 9.292 £53,754.22

£5.785 9,292 £53,754.22 Acquisition Aggregated

Place of Date of Transaction Financial Instrument **Identification Code** Currency Transaction

London Stock

USD - United 2016-09-28 Ordinary shares of US\$0.50 each GB0005405286 Exchange, Main States Dollar

Market (XLON)

Nature of Transaction: Price Volume Total

\$7.10 32,244 \$228,980.77

Acquisition Aggregated \$7.102 32,244 \$228,980.77

1 – Details of the person discharging managerial responsibilities / person closely associated

Name of natural person Camay Wong

2 - Reason for the notification

Closely associated person of Peter Wong, Deputy

Position/status Chairman and Chief Executive, The Hongkong and

Shanghai Banking Corporation Limited

**Initial Notification** 

Initial notification/amendment

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer

auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

Transaction(s) summary table									
Date of Transaction	Financial Instrument	Identification Code		Place of Transaction	Currency				
2016-09-28	Ordinary shares of US\$0.50 each	GB00054052	86	London Stock Exchange, Main Market (XLON)	USD –United States Dollar				
Nature of Transaction:			Price	Volume					
			\$7.10	315	\$2,236.97				
Acquisition		Aggregated	\$7.102	315	\$2,236.97				

For any queries related to this notification please contact: Nickesha Graham-Burrell, Senior Assistant Company Secretary on 020 7992 3633.

#### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

HSBC Holdings plc

By:

Name: Ben J S Mathews

Title: Group Company Secretary

Date: 30 September 2016