

Edgar Filing: ChromaDex Corp. - Form 8-K

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.07

Submission of Matters to a Vote of Security Holders.

On August 10, 2017, ChromaDex Corporation (the “Company”) held a Special Meeting of Stockholders (the “Special Meeting”) at which the Company’s stockholders approved a proposal to issue shares of the Company’s common stock in connection with a financing transaction (the “Transaction”). As of June 19, 2017, the record date for the Special Meeting, 46,093,894 shares of common stock were outstanding and entitled to vote at the Special Meeting. At the Special Meeting, 25,012,872 shares of common stock were present in person or represented by proxy. Pursuant to NASDAQ listing rules, shares of common stock owned by Champion River Ventures Limited (“Champion”) and Pioneer Step Holdings Limited (“Pioneer”), the purchasers in the Transaction, were not entitled to vote on the proposal. The shares of common stock held by Champion and Pioneer were not present in person or represented by proxy at the Special Meeting.

Set forth below are the full results of the matter submitted for a vote of stockholders at the Special Meeting.

Proposal 1 — Approval of Issuance of Common Stock in Connection with a Financing Transaction.

| For | Against | Abstain | Broker Non-Votes |
|------------|---------|---------|------------------|
| 24,779,564 | 223,926 | 9,382 | 0 |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CHROMADEX
CORPORATION

Dated: August 14, 2017

By: /s/ Thomas C. Varvaro
Name: Thomas C. Varvaro
Chief Financial Officer