Edgar Filing: MICHALAK MICHAEL H - Form 4

MICHALAK	K MICHAEL H	[
Form 4											
January 30, 2	2018										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION							OMB APPROVAL				
Washington, D.C. 20549							OMB Number:	3235-0287			
Subject to Section 16. Form 4 or				GES IN BENEFICIAL OWNERSHIP (SECURITIES					Expires:January 31, 2005Estimated average burden hours per response0.5		
obligation may cont <i>See</i> Instru 1(b).	$\frac{1}{1}$ inue. Section 1	7(a) of the 1		tility Ho	lding C	ompan	y Act of	e Act of 1934, f 1935 or Section 40	n		
(Print or Type I	Responses)										
MICHALAK MICHAEL H Symbol			Symbol	er Name and Ticker or Trading RICA INC /NEW/ [CMA]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 3. Date of				Earliest Transaction				(Check an applicable)			
				Month/Day/Year) 1/27/2018				Director 10% Owner X Officer (give title Other (specify below) below) EVP - Chief Risk Officer			
			endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 				
DALLAS, 7	TX 75201							Form filed by M Person			
(City)	(State)	(Zip)	Tabl	e I - Non-	Derivati	ve Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Execution any	ned n Date, if Day/Year)	3. Transact Code (Instr. 8) Code	ion(A) or (Instr.	3, 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	01/27/2018			F	310	D	\$ 95.81	43,488 <u>(1)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and Amount of 8 Underlying Securities II (Instr. 3 and 4) S	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 39.1					01/25/2012 <u>(2)</u>	01/25/2021	Common Stock	8,000
Employee Stock Option (right to buy)	\$ 29.6					01/24/2013 <u>(2)</u>	01/24/2022	Common Stock	8,000
Employee Stock Option (right to buy)	\$ 33.79					01/22/2014(2)	01/22/2023	Common Stock	2,000
Employee Stock Option (right to buy)	\$ 49.51					01/21/2015(2)	01/21/2024	Common Stock	1,857
Employee Stock Option (right to buy)	\$ 42.32					01/27/2016 <u>(2)</u>	01/27/2025	Common Stock	3,500
Employee Stock Option (right to buy)	\$ 32.97					01/26/2017 <u>(2)</u>	01/26/2026	Common Stock	4,520
Employee Stock Option	\$ 67.66					01/24/2018(2)	01/24/2027	Common Stock	2,530

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(right to buy) Employee Stock Common \$ 95.25 01/23/2019(2) 01/23/2028 Option 2,115 Stock (right to buy) **Reporting Owners** Relationships **Reporting Owner Name / Address** Director 10% Owner Officer Other MICHALAK MICHAEL H **1717 MAIN STREET** EVP - Chief Risk Officer MC 6406 DALLAS, TX 75201

Signatures

/s/ Jennifer S. Perry, on behalf of Michael H. Michalak through Power of Attorney	01/30/2018	
<u>**</u> Signature of Reporting Person	Date	

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired through employee stock plans, shares purchased with reinvested dividends, restricted stock units and stock units held pursuant to a deferred compensation plan as of January 27, 2018.
- (2) The options vest in four equal annual installments (based on the original grant amount) beginning on the date indicated in this column.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.