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TRUSTCO BANK CORP N Y

Form 4

December 04, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * SCHRECK ERIC W

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

TRUSTCO BANK CORP N Y

(Check all applicable)

[TRST]

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X_ Officer (give title Other (specify below)

SVP & TREASURER

C/O TRUSTCO BANK CORP NY, 5 12/02/2013

SARNOWSKI DRIVE

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

| GLENVILL | E, NY 12302 |
|----------|-------------|
|----------|-------------|

| (City) | (State) (Zij | p) Table l | - Non-Der | rivative Se | curiti | es Acqu | ired, Disposed of | , or Beneficial | ly Owned |
|--------------------------------------|-----------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------------------------------|-------------|------------------|--------------------------------------------------|------------------------------------------------|-------------------------------------------------------------------|----------|
| 1.Title of Security (Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) | | 3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) | | | Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | Code V | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | (Instr. 4) | |
| COMMON STOCK | 12/02/2013 | | M | 6,000 | A | \$ 5.14 | 78,300 | D | |
| COMMON STOCK | 12/02/2013 | | M | 1,700 | A | \$ 5.17 | 80,000 | D | |
| COMMON STOCK | 12/02/2013 | | F | 5,334 | D | \$ 7.43 | 74,666 | D | |
| COMMON STOCK | | | | | | | 687 | I | BY WIFE |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| Derivative Security (Instr. 3) | Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | SA. Deemed Execution Date, if any (Month/Day/Year) | Transactic Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount Underlying Securitie (Instr. 3 and 4) | |
|--------------------------------|-----------------------------------------------------------------|--------------------------------------|----------------------------------------------------|----------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------|-----------------------------------------------------------|------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Am or Nui of Sha |
| OPTION: RT-TO-BUY | \$ 5.14 | 12/02/2013 | | M | 3,000 | 11/15/2012 | 11/15/2021 | COMMON STOCK | 3, |
| OPTION: RT-TO-BUY | \$ 5.14 | 12/02/2013 | | M | 3,000 | 11/15/2013 | 11/15/2021 | COMMON STOCK | 3, |
| OPTION: RT-TO-BUY | \$ 5.17 | 12/02/2013 | | M | 1,700 | 11/20/2013 | 11/20/2022 | COMMON STOCK | 1, |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SCHRECK ERIC W C/O TRUSTCO BANK CORP NY 5 SARNOWSKI DRIVE GLENVILLE, NY 12302

SVP & TREASURER

Signatures

1 Title of

ROBERT T. CUSHING, BY POWER OF ATTORNEY 12/04/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Remarks:

Reporting Owners 2

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ADDITIONAL SHARES ACQUIRED BY REPORTING PERSON'S PARTICIPATING IN DIVIDEND REINVESTMENT

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.