

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

ENTERGY CORP /DE/
Form U-9C-3
March 26, 2002

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT PURSUANT TO RULE 58
For the Quarterly Period Ended December 31, 2001

ENTERGY CORPORATION
(a Delaware corporation)
639 Loyola Avenue
New Orleans, Louisiana 70113
Telephone (504) 576-4000

(Name of registered holding company and address of principal
executive offices)

ITEM 1 - ORGANIZATION CHART

N/A for the fourth calendar quarter of the fiscal year.

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL
CONTRIBUTIONS

Company issuing security	Type of security issued	Principal amount of security	Issue or renewal	Cost of capital	Person to whom security was issued	Collateral given with security	Consideration received for each security
None	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

Company contributing capital	Company receiving capital	Amount of capital contribution
Entergy Retail Holding Company	Entergy Solutions Ltd. (ESLTD)	\$4,257,000
Entergy Retail Texas, Inc. (ERTI)	ESLTD	\$ 43,000
Entergy PTB Holding Company	Entergy Solutions Select Ltd. (ESSL)	\$2,970,000
Entergy Select LLC	ESSL	\$ 30,000

ITEM 3 - ASSOCIATE TRANSACTIONS

Part I - Transactions Performed by Reporting Companies on Behalf of Associated Companies

Reporting company rendering services	Associate company receiving services	Types of services rendered	Direct costs charged	Indirect costs charged	Cost of capital	Total amount billed
Entergy Holdings, Inc. (EHI)	Entergy Business Solutions, LLC (EBS)	Professional services and back office support	\$20,803	-0-	N/A	\$20,803
EHI	Entergy Thermal, LLC (Entergy Thermal)	Same as above	\$48,541	-0-	N/A	\$48,541

Part II - Transactions Performed by Associate Companies on Behalf of Reporting Companies

Associate company rendering services	Reporting company receiving services	Types of services rendered	Direct costs charged	Indirect costs charged	Cost of capital	Total amount billed
Entergy Enterprises, Inc. (EEI)	EHI	Professional services and back office support	\$248,272	\$-0-	N/A	\$248,272
EEI	Entergy Thermal	Same as above	\$121,094	\$-0-	N/A	\$121,094
EEI	EBS	Same as above	\$ 14,322	\$-0-	N/A	\$ 14,322
EEI	ESSL	Same as above	\$534,288	\$-0-	N/A	\$534,288

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

EEI	Entergy Solutions Essentials, Ltd. (ESEL)	Same as above	\$ 1,369	\$-0-	N/A	\$ 1,369
EEI	ESLTD	Same as above	\$652,961	\$-0-	N/A	\$652,961
EEI	Entergy Power RS Corporation (EPRS)	Same as above	\$411,803	\$-0-	N/A	\$411,803
EEI	EWO Marketing, L.P. (EWOM)	Same as above	\$765,903	\$-0-	N/A	\$765,903
EEI	Entergy Power Gas Operating Corporation (EPGOC)	Same as above	\$226,209	\$-0-	N/A	\$226,209
EEI	TLG Services, Inc. (TLG)	Same as above	\$ 49,914	\$-0-	N/A	\$ 49,914

Part II - Transactions Performed by Associate Companies on Behalf of Reporting Companies - Continued

Associate company rendering services	Reporting company receiving services	Types of services rendered	Direct costs charged	Indirect costs charged	Cost of capital	Total amount billed
Entergy Solutions Management Services LLC (ESMS)	ESLTD	Same as above	\$1,600,398	\$-0-	N/A	\$1,600,398
ESMS	ESSL	Same as above.	\$ 525,839	\$-0-	N/A	\$ 525,839
Entergy Solutions Supply Ltd. (ESSLTD)	ESLTD	Same as above	\$ 541,788	\$-0-	N/A	\$ 541,788
ESSLTD	ESSL	Same as above	\$1,677,489	\$-0-	N/A	\$1,677,489
ERTI	ESLTD	Same as above	\$ 63,456	\$-0-	N/A	\$ 63,456
Entergy Nuclear Operations, Inc.	TLG	Same as above	\$ 835,509	\$-0-	N/A	\$ 835,509
Entergy Power	EPRS	Same as above	\$ 1,887	\$-0-	N/A	\$ 1,887

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

Operations
U.S., Inc.

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT

Investments in energy-related companies:

Total consolidated capitalization as of December 31, 2001	\$15,352,570,000	Line 1
Total capitalization multiplied by 15% (line 1 multiplied by 0.15)	2,302,885,500	Line 2
Greater of \$50 million or line 2	2,302,885,500	Line 3

Total current aggregate investment:

Energy marketing and brokering (Entergy Power Gas Holdings Corporation, EPGOC, ESLTD, Entergy-Koch Trading LP, EWO, EWOM, ESSL, and ESEL)	511,045,565
Energy related technical and similar services (EHI, Entergy Thermal, EBS, and TLG)	54,822,122
Development and ownership of QFs (Entergy Power Holdings USA Corporation and Entergy Power RS Corporation)	1,171,428

Total current aggregate investment	567,039,115	Line 4
------------------------------------	-------------	--------

Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (line 3 less line 4)	\$1,735,846,385	Line 5
--	-----------------	--------

ITEM 5 - OTHER INVESTMENTS

Major line of energy-related business	Other investment in last U-9C-3 report	Other investment in this U-9C-3 report	Reason for difference in other investment
N/A	-0-	-0-	N/A

ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

A. Financial Statements

N/A for the fourth calendar quarter of the fiscal year.

B. Exhibits

- Certificate of filing of Form U-9C-3 for the 3rd Quarter of 2001 with interested state commissions and municipal regulator.

SIGNATURE

Pursuant to the requirements of the Public Utility Holding Company Act of 1935, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Entergy Corporation

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

By: /s/ Nathan E. Langston
Nathan E. Langston
Senior Vice President and Chief
Accounting Officer

Dated: March 26, 2002

EXHIBIT - CERTIFICATE OF FILING

A conformed copy of Entergy Corporation's Form U-9C-3 for the quarter ended September 30, 2001, excluding the information contained in Item 6, part A, which was filed confidentially with the Securities and Exchange Commission under Rule 104(b), was filed with the following:

Mary W. Cochran, Esq.
Arkansas Public Service Commission
1000 Center Street
Little Rock, AR 72201

Norma K. Scogin, Esq.
Texas Attorney General's Office
300 West 15th Street/10th Floor
Austin, TX 78701

Lawrence C. St. Blanc, Secretary
Louisiana Public Service Commission
Post Office Box 91154
Baton Rouge, LA 70821-9154

Sherry A. Quirk, Esq.
Verner, Liipfert, Bernhard,
McPherson and Hand
901 15th Street, NW / Suite 700
Washington, DC 20005-2301

William Bruce McKinley, Esq.
Mississippi Public Service Commission
Walter Sillers State Office Building
550 High Street / 19th Floor
Jackson, MS 39215

Frank Spencer, Esq.
Assistant Attorney General
Mississippi Attorney General's Office
Post Office Box 22947
Jackson, MS 39225

George W. Fleming, Esq.
Mississippi Public Utilities Staff
Post Office Box 1174
Jackson, MS 39215

Mr. James Galloway, Filing Clerk
Central Records - PUCT
1701 N. Congress
Austin, TX 78711

Mr. Kelley P. Meehan, Deputy Director
City Council Utilities Regulatory Office
Room 6E07, City Hall
1300 Perdido Street
New Orleans, LA 70112

Hon. Emma J. Williams, Clerk of Council
City of New Orleans
Room 1E04, City Hall
1300 Perdido Street
New Orleans, LA 70112

Entergy Corporation

By: /s/ Nathan E. Langston
Nathan E. Langston
Senior Vice President and Chief
Accounting Officer

Dated: March 26, 2002