

ENTERGY CORP /DE/
Form 3
May 05, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---|---|--|---|---|
| <p>1. Name and Address of Reporting Person *</p> <p>Â Riley Richard C</p> <p>(Last) (First) (Middle)</p> <p>C/O ENTERGY CORPORATION LEGAL DEPARTMENT,Â 639 LOYOLA AVENUE, 26TH FLOOR</p> <p>(Street)</p> <p>NEW ORLEANS,Â LAÂ 70113</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>05/01/2016</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>ENTERGY CORP /DE/ [ETR]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer <input checked="" type="checkbox"/> Other (give title below) (specify below) "Officer" Under Sec 16 Rules</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|---|--|---|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 5,576 | D | Â |
| Common Stock | 3,715 | I | By 401(k) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|--|--------------------|--|----------------------------------|--|--|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |
| Employee Stock Option (Right to Buy) | Â (1) | 01/25/2017 | Common Stock | 2,400 | \$ 91.82 | D | Â |
| Employee Stock Option (Right to Buy) | Â (1) | 01/24/2018 | Common Stock | 4,000 | \$ 108.2 | D | Â |
| Employee Stock Option (Right to Buy) | Â (1) | 01/26/2022 | Common Stock | 2,500 | \$ 71.3 | D | Â |
| Employee Stock Option (Right to Buy) | Â (1) | 01/31/2023 | Common Stock | 3,334 | \$ 64.6 | D | Â |
| Employee Stock Option (Right to Buy) | Â (2) | 01/30/2024 | Common Stock | 5,334 | \$ 63.17 | D | Â |
| Employee Stock Option (Right to Buy) | Â (3) | 01/29/2025 | Common Stock | 4,500 | \$ 89.9 | D | Â |
| Employee Stock Option (Right to Buy) | Â (4) | 01/28/2026 | Common Stock | 4,700 | \$ 70.56 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|---------------------------------|
| | Director | 10% Owner | Officer | Other |
| Riley Richard C C/O ENTERGY CORPORATION LEGAL DEPARTMENT 639 LOYOLA AVENUE, 26TH FLOOR NEW ORLEANS, LA 70113 | Â | Â | Â | "Officer" Under Sec 16 Rules |

Signatures

/s/ Daniel T. Falstad by power of attorney 05/05/2016

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reporting person may exercise the options at any time.

(2) 2,667 of the options may be exercised by the reporting person at any time. The remaining 2,667 options will become exercisable on January 30, 2017.

(3)

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1,500 of the options may be exercised by the reporting person at any time. The remaining 3,000 options will vest in two equal installments on January 29, 2017 and January 29, 2018.

- (4) The options will become exercisable in three equal annual installments on January 28, 2017, 2018 and 2019.

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Remarks:

ExhibitÂ ListÂ -Â ExhibitÂ 24Â -Â PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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