

WEST RODERICK K
Form 4
November 15, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
WEST RODERICK K

2. Issuer Name and Ticker or Trading Symbol
ENTERGY CORP /DE/ [ETR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)

Group President, Utility Ops

C/O ENTERGY CORPORATION
LEGAL DEPARTMENT, 639
LOYOLA AVENUE, 26TH FLOOR

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

(Street)

NEW ORLEANS, LA 70113

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
				Code V	Amount		
Common Stock	11/13/2018		M	5,000	A \$ 77.53	44,379 ⁽¹⁾	D
Common Stock	11/13/2018		S	5,000	D \$ 85.87	39,379	D
Common Stock	11/13/2018		M	7,000	A \$ 77.1	46,379	D
Common Stock	11/13/2018		S	7,000	D \$ 85.86	39,379	D
Common Stock	11/13/2018		M	30,000	A \$ 71.3	69,379	D

Edgar Filing: WEST RODERICK K - Form 4

Common Stock	11/13/2018	S	30,000	D	\$ 85.86	39,379	D	
Common Stock	11/13/2018	M	12,000	A	\$ 63.17	51,379	D	
Common Stock	11/13/2018	S	12,000	D	\$ 85.86	39,379	D	
Common Stock	11/13/2018	M	27,333	A	\$ 70.56	66,712	D	
Common Stock	11/13/2018	S	27,333	D	\$ 85.86	39,379	D	
Common Stock	11/13/2018	M	9,733	A	\$ 70.53	49,112	D	
Common Stock	11/13/2018	S	9,733	D	\$ 85.86	39,379	D	
Common Stock						3,659	I	By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 77.53	11/13/2018		M	5,000	(2) (2)	Common Stock 5,000
Employee Stock Option (Right to	\$ 77.1	11/13/2018		M	7,000	(3) (3)	Common Stock 7,000

Buy)									
Employee Stock Option (Right to Buy)	\$ 71.3	11/13/2018	M	30,000	<u>(4)</u>	<u>(4)</u>	Common Stock	30,000	
Employee Stock Option (Right to Buy)	\$ 63.17	11/13/2018	M	12,000	<u>(5)</u>	<u>(5)</u>	Common Stock	12,000	
Employee Stock Option (Right to Buy)	\$ 70.56	11/13/2018	M	27,333	<u>(6)</u>	01/28/2026	Common Stock	27,333	
Employee Stock Option (Right to Buy)	\$ 70.53	11/13/2018	M	9,733	<u>(7)</u>	01/26/2027	Common Stock	9,733	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WEST RODERICK K C/O ENTERGY CORPORATION LEGAL DEPARTMENT 639 LOYOLA AVENUE, 26TH FLOOR NEW ORLEANS, LA 70113			Group President, Utility Ops	

Signatures

/s/ Daniel T. Falstad by power of attorney 11/15/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 212 shares of Entergy common stock acquired through the dividend reinvestment feature of Entergy Corporation's equity ownership plans.
 - (2) The options vested in three equal annual installments on January 29, 2010, 2011 and 2012.
 - (3) The options vested in three equal annual installments on January 28, 2011, 2012 and 2013.
 - (4) The options vested in three equal annual installments on January 26, 2013, 2014 and 2015.

Edgar Filing: WEST RODERICK K - Form 4

- (5) The options vested in three equal annual installments on January 30, 2015, 2016 and 2017.
- (6) The options vested in two equal installments on January 28, 2017 and 2018.
- (7) The options vested on January 26, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.