## Edgar Filing: ATHOE MAUREEN MARY - Form 4

#### ATHOE MAUREEN MARY

Form 4

November 16, 2017

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

0.5

January 31, Expires: 2005

**OMB APPROVAL** 

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subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading ATHOE MAUREEN MARY Issuer Symbol MOOG INC. [MOGA/MOGB] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify SENECA ST AND JAMISON RD 11/14/2017 below) Vice President (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

### EAST AURORA, NY 14052

(State)

(Zip)

(City)

| (City)                | Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficial |                    |            |  |  |                                      |                                       |  |
|-----------------------|--|--------------------|------------|--|--|--------------------------------------|---------------------------------------|--|
| 1.Title of Security   | 2. Transaction Date (Month/Day/Year)                                     | Execution Date, if |            | 4. Securities on Acquired (A) or         | 5. Amount of Securities                              | 6. Ownership Form: Direct            | 7. Nature of Indirect                 |  |
| (Instr. 3)            |  |                    | (Instr. 8) | Disposed of (D) (Instr. 3, 4 and 5)  (A) | Beneficially Owned Following Reported Transaction(s) | (D) or<br>Indirect (I)<br>(Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |  |
| <br>Class A<br>Common |  |                    | Code V     | Amount (D) Price                         | (Instr. 3 and 4)<br>2,341                            | D                                    |                                       |  |
| Class B<br>Common (1) |  |                    |            |  | 351  | I                                    | 401 (k)                               |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exer<br>Expiration D<br>(Month/Day) | ate                | 7. Title and A Underlying S (Instr. 3 and | Securities                          | 8<br>I<br>S<br>( |
|---|---|--------------------------------------|---|--|---|---|--------------------|---|-------------------------------------|------------------|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable                         | Expiration<br>Date | Title                                     | Amount<br>or<br>Number<br>of Shares |                  |
| SAR (2)   | \$ 36.86  |                                      |   |  |   | (3)   | 11/30/2020         | Class A<br>Common                         | 4,000                               |                  |
| SAR (2)   | \$ 41.82  |                                      |   |  |   | (3)   | 11/30/2021         | Class A<br>Common                         | 4,000                               |                  |
| SAR (2)   | \$ 36.41  |                                      |   |  |   | (3)   | 11/27/2022         | Class A<br>Common                         | 4,000                               |                  |
| SAR (2)   | \$ 61.69  |                                      |   |  |   | (3)   | 11/11/2023         | Class A<br>Common                         | 2,000                               |                  |
| SAR (2)   | \$ 74.38  |                                      |   |  |   | (3)   | 11/11/2024         | Class A<br>Common                         | 2,000                               |                  |
| SAR (4)   | \$ 63.04  |                                      |   |  |   | (3)   | 11/17/2025         | Class A<br>Common                         | 3,333                               |                  |
| SAR (4)   | \$ 65.9   |                                      |   |  |   | (3)   | 11/17/2025         | Class B<br>Common                         | 6,667                               |                  |
| SAR (4)   | \$ 71.648   |                                      |   |  |   | (3)   | 11/15/2026         | Class B<br>Common                         | 10,000                              |                  |
| SAR (4)   | \$ 82.31  | 11/14/2017                           |   | A                                      | 6,181   | (3)   | 11/14/2027         | Class B<br>Common                         | 6,181                               |                  |

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

ATHOE MAUREEN MARY SENECA ST AND JAMISON RD EAST AURORA, NY 14052

Vice President

# **Signatures**

Timothy P. Balkin, as Power of Attorney for Maureen Mary Athoe

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\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects equivalent shares held in Moog Inc. Retirement Savings Plan as of the most recent statement.
- (2) Stock Appreciation Right (SAR) granted under the 2008 Appreciation Rights Plan.
- (3) SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.
- (4) Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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