Oswald Timothy J Form 4 February 19, 2019

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** 

**OMB APPROVAL** 

Number:

3235-0287

Expires:

January 31, 2005

0.5

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Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Common

Common

Stock

Stock

02/15/2019

(Print or Type Responses)

1. Name and A	Symbol	2. Issuer Name and Ticker or Trading Symbol REGAL BELOIT CORP [RBC]				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last)	(First) (I	Middle) 3. Date	of Earliest T	ransaction			(Circ	сек ан арриса	oic)	
200 STATI	E STREET	(Month, 02/15/	Day/Year) 2019				DirectorX Officer (gi below) VP/		0% Owner other (specify orces	
	(Street)	4. If An	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
BELOIT, V			onth/Day/Year	,	Secu	rities Acc	Applicable Line) _X_ Form filed by Form filed by Person  uired, Disposed	More than One	Reporting	
1.Title of	2. Transaction Date		3.	4. Securi			5. Amount of	6.	7. Nature of	
Security (Instr. 3)	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)	n(A) or Di (Instr. 3,	4 and (A) or	5) Price	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/15/2019		M	750	A	\$ 72.29	2,570	D		

684

D

F

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

1,886

199 (1)

D

Ι

SEC 1474 (9-02)

Retirement

Savings

Plan

#### Edgar Filing: Oswald Timothy J - Form 4

## displays a currently valid OMB control

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Der Sect Acq (A) Disj of (I	evative arities uired or bosed D) tr. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Shares
Stock Appreciation Rights	\$ 72.29	02/15/2019		M		750	05/04/2013(2)	05/04/2021	Common Stock	750
Stock Appreciation Rights	\$ 75.76						05/07/2016 <u>(3)</u>	05/07/2024	Common Stock	475
Stock Appreciation Rights	\$ 78.15						05/12/2017(3)	05/12/2025	Common Stock	1,05
Stock Appreciation Rights	\$ 57.43						05/11/2018(3)	05/11/2026	Common Stock	1,66
Stock Appreciation Rights	\$ 80.7						05/10/2019(3)	05/10/2027	Common Stock	1,08
Stock Appreciation Rights	\$ 77.6						05/09/2020(4)	05/09/2028	Common Stock	1,09

# **Reporting Owners**

Reporting Owner Name / Address	Kelationships							
	Director	10% Owner	Officer	Other				
Oswald Timothy J 200 STATE STREET BELOIT, WI 53511			VP/Human Resources					

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### **Signatures**

/s/ Thomas E. Valentyn, as Power of Attorney

02/19/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Balance reflects the most current data available with regard to holdings in the Regal Beloit Corporation Retirement Savings Plan.
- Granted as stock-settled Stock Appreciation Rights ("SARs") under the 2007 Equity Incentive Plan. The SARs vest and become (2) exercisable 40% on the second anniversary, 60% on the third anniversary, 80% on the fourth anniversary and 100% on the fifth anniversary of the date of the grant.
- (3) Granted as stock-settled SARs under the 2013 Equity Incentive Plan. The SARs vest and become exercisable 40% on the second anniversary, 60% on the third anniversary, 80% on the fourth anniversary and 100% on the fifth anniversary of the date of the grant.
- (4) Granted as stock-settled SARs under the 2018 Equity Incentive Plan. The SARs vest and become exercisable 40% on the second anniversary, 60% on the third anniversary, 80% on the fourth anniversary and 100% on the fifth anniversary of the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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