Armstrong Flooring, Inc. Form 4 April 13, 2016

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Maier Donald R.

(Middle)

2. Issuer Name and Ticker or Trading Symbol

Armstrong Flooring, Inc. [AFI]

3. Date of Earliest Transaction

(Month/Day/Year) 04/11/2016

C/O ARMSTRONG FLOORING, INC., 2500 COLUMBIA AVENUE, P.O. BOX 3025

(First)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify below) President and CEO

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

LANCASTER, PA 17603

(City) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

(A) Transaction(s) or (Instr. 3 and 4)

Common Stock

Code V Amount (D) Price

 $10,563 \frac{(1)}{2}$ D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Armstrong Flooring, Inc. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secu (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units (2)	<u>(2)</u>					(3)	(3)	Common Stock	37,935	
Restricted Stock Units (2)	<u>(2)</u>					<u>(4)</u>	<u>(4)</u>	Common Stock	5,765	
Restricted Stock Units (2)	(2)					(5)	<u>(5)</u>	Common Stock	8,498	
Stock Option	\$ 15.27					<u>(6)</u>	09/26/2024	Common Stock	18,143	
Stock Option	\$ 14.55					<u>(7)</u>	02/25/2024	Common Stock	55,142	
Stock Option	\$ 13.98					(8)	02/20/2023	Common Stock	61,685	
Stock Option	\$ 11.67					<u>(9)</u>	02/28/2022	Common Stock	83,639	
Stock Option	\$ 8.96					(10)	11/01/2021	Common Stock	22,313	
Stock Option	\$ 9.61					(11)	03/02/2021	Common Stock	29,970	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>Fg</b>	Director	10% Owner	Officer	Other		
Maier Donald R. C/O ARMSTRONG FLOORING, INC. 2500 COLUMBIA AVENUE, P.O. BOX 3025 LANCASTER, PA 17603	X		President and CEO			

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### **Signatures**

/s/ Christopher S. Parisi, Attorney-in-Fact

04/13/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired in a pro rata distribution by Armstrong World Industries, Inc. ("AWI") as a result of the spin-off of the Issuer from AWI, effective on April 1, 2016.
- (2) Each restricted stock unit represents a contingent right to receive one share of the Issuer's common stock under the Issuer's 2016 Long-Term Incentive Plan.
- The restricted stock units were granted to the Reporting Person on February 24, 2015 and will vest as follows: (1) 18,967 on the second anniversary of the grant, and (2) 18,968 on the third anniversary of the grant (contingent upon the Reporting Person's employment with the Issuer on the scheduled vesting date, except as provided for under the Issuer's 2016 Long-Term Incentive Plan).
- The restricted stock units were granted to the Reporting Person on September 26, 2014 and will vest on the third anniversary of the grant (contingent upon the Reporting Person's employment with the Issuer on the scheduled vesting date, except as provided for under the Issuer's 2016 Long-Term Incentive Plan).
- The restricted stock units were granted to the Reporting Person on February 25, 2014 and will vest on December 31, 2016 (contingent upon the Reporting Person's employment with the Issuer on the scheduled vesting date, except as provided for under the Issuer's 2016 Long-Term Incentive Plan).
- The stock options were granted on September 26, 2014 and 6,046 have vested; the remaining 12,097 unvested stock options will vest and become exercisable as follows: (1) 6,048 on the second anniversary of the grant, and (2) 6,049 on the third anniversary of the grant (contingent upon the Reporting Person's employment with the Issuer on the scheduled vesting date, except as provided for under the Issuer's 2016 Long-Term Incentive Plan).
- The stock options were granted on February 25, 2014 and 36,760 have vested; the remaining 18,382 unvested stock options will vest and become exercisable on the third anniversary of the grant (contingent upon the Reporting Person's employment with the Issuer on the scheduled vesting date, except as provided for under the Issuer's 2016 Long-Term Incentive Plan).
- (8) The stock options were granted on February 20, 2013 and have vested.
- (9) The stock options were granted on February 28, 2012 and have vested.
- (10) The stock options were granted on November 1, 2011 and have vested.
- (11) The stock options were granted on March 2, 2011 and have vested.

#### **Remarks:**

NOTE: All derivative securities on Table II granted prior to April 1, 2016 were originally issued by AWI and, as a result of the Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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