

HOME BANCSHARES INC
Form 4
November 28, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LIEBLONG ALEX R

2. Issuer Name and Ticker or Trading Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
P.O. BOX 966
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/23/2016

Director 10% Owner
 Officer (give title below) Other (specify below)

CONWAY, AR 72033
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock	11/23/2016		S		200,000	D	\$ 26.7494	924,778	I	By Key Colony Fund (hedge fund managing partner)
Common Stock	11/25/2016		S		36,000	D	\$ 26.5681	888,778	I	By Key Colony Fund (hedge fund)

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Common Stock	11/28/2016		S	150,000	D	\$ 26.173	738,778	I	managing partner)
Common Stock	11/28/2016		M	1,425	A	\$ 1.96	806,429	D	By Key Colony Fund (hedge fund managing partner)
Common Stock	11/28/2016		M	2,850	A	\$ 2.1	809,279	D	
Common Stock	11/28/2016		M	3,123	A	\$ 2.46	812,402	D	
Common Stock	11/28/2016		M	2,850	A	\$ 2.66	815,252	D	
Common Stock	11/28/2016		M	4,752	A	\$ 4.3	820,004	D	
Common Stock	11/28/2016		S	15,000	D	\$ 25.7064	805,004	D	
Common Stock - Restricted							4,000 <u>(1)</u> <u>(2)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Title and Amount of Derivative Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Stock Option	\$ 1.96	11/28/2016	M	1,425	(3)	(3)	Common Stock	1,425
Stock Option	\$ 2.1	11/28/2016	M	2,850	(4)	(4)	Common Stock	2,850
Stock Option	\$ 2.46	11/28/2016	M	3,123	(5)	(5)	Common Stock	3,123
Stock Option	\$ 2.66	11/28/2016	M	2,850	(6)	(6)	Common Stock	2,850
Stock Option	\$ 4.3	11/28/2016	M	4,752	(7)	01/09/2018	Common Stock	4,752

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LIEBLONG ALEX R P.O. BOX 966 CONWAY, AR 72033		X		

Signatures

/s/Alex R. Lieblong by Rachel Wesson 11/28/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Restricted Stock granted on January 25, 2016 will "cliff" vest 100% three years from award date.

(2) Restricted Stock granted on January 16, 2015 will "cliff" vest 100% three years from award date.

(3) The option is exercisable in five equal annual installments. None of the issued option is now remaining. The remaining option became exercisable on December 31, 2006 and would have expired on December 31, 2016.

(4) The option is exercisable in five equal annual installments. None of the issued option is now remaining. The first 20% of the remaining option became exercisable on December 31, 2006 and would have expired on December 31, 2016. The last 20% of the remaining option became exercisable on December 31, 2007 and would have expired on December 31, 2017.

(5) The option is exercisable in five equal annual installments. The remaining option became exercisable on December 31, 2008 and expires on December 31, 2018.

(6) The option is exercisable in five equal annual installments. 40% of the issued option is remaining. The first 20% of the remaining option became exercisable on December 31, 2008 and expires on December 31, 2018. The last 20% of the remaining option became exercisable on December 31, 2009 and expires on December 31, 2019.

(7) The option is exercisable in five equal annual installments beginning on January 10, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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