Corindus Vascular Robotics, Inc.

Form 4

March 10, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

burden hours per response...

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* KONINKLIJKE PHILIPS NV

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Corindus Vascular Robotics, Inc.

below)

[CVRS]

02/28/2017

(Last) (First) (Middle)

(State)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

Director X 10% Owner Other (specify Officer (give title

(Check all applicable)

PHILIPS CENTER. AMSTELPLEIN 2, 1096 BC

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

Person

AMSTERDAM, P7

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 7. Nature of 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) or 5. Amount of 6. Security (Month/Day/Year) Execution Date, if TransactiorDisposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Owned Ownership (Instr. 8) Direct (D) **Following** or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Price Code V Amount (D) Common Stock, par 24,965,253

02/28/2017

 $P^{(1)}$ 7,557,436 A 0.6616

\$0.0001 per share

value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

D

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transactio	5. orNumber	6. Date Exercise Expiration Date		7. Title and A Underlying S	
Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired	(Month/Day/Year)		(Instr. 3 and 4)	
					(A) or Disposed of (D) (Instr. 3, 4, and 5)				
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrant	\$ 1.06					08/12/2014	10/11/2017	Common Stock, par value \$0.0001 per share	4,728,191

Relationships

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
coposing of the state of the state of	Director	10% Owner	Officer	Other	
KONINKLIJKE PHILIPS NV PHILIPS CENTER, AMSTELPLEIN 2, 1096 BC AMSTERDAM, P7	X				
Signatures					
/s/ Abhijit Bhattacharya, Chief Financial Officer	03/10/2	2017			
**Signature of Reporting Person	Date	:			
/s/ Marnix van Ginneken, Chief Legal Officer	03/10/2	2017			
**Signature of Reporting Person	Date	·			

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Pursuant to the Securities Purchase Agreement, dated February 28, 2017, by and among Corindus Vascular Robotics, Inc. (the "Company"), Koninklijke Philips N.V. ("Philips") and the other parties thereto, Philips has the right to acquire 7,557,436 shares of common stock of the Company, par value \$0.0001 per share (the "Common Stock") at the closing scheduled for March 15, 2017, for a purchase price of \$0.6616 per share.
- This amount reflects a correction to the Form 3, filed by Philips on February 28, 2017, clarifying that 4,728,191 shares of Common Stock beneficially owned by Philips are in the form of warrants. The correction does not result in any change in the overall number of shares of Common Stock beneficially owned by Philips as of the date of such Form 3 filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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