#### Edgar Filing: NATURES SUNSHINE PRODUCTS INC - Form 4

#### NATURES SUNSHINE PRODUCTS INC

Form 4 May 31, 2017

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* PRESCOTT GROUP CAPITAL MANAGEMENT, L.L.C.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Zip)

NATURES SUNSHINE PRODUCTS INC [NATR]

(Check all applicable)

(Last)

(First)

(Middle) 3. Date of Earliest Transaction Director Officer (give title

\_ 10% Owner \_ Other (specify

1924 SOUTH UTICA, SUITE 1120

05/30/2017

(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

below)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

**TULSA, OK 74104** 

(City)

(City)	(State)	(Zip) Tabl	e I - Non-I	<b>Derivative</b>	Secui	rities Acqu	ired, Disposed of	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, no par value	05/30/2017		P	500	A	\$ 12.3	1,998,336 (1)	I	See Footnote (2)
Common Stock, no par value	05/30/2017		P	500	A	\$ 12.325	1,998,836 (1)	I	See Footnote (2)
Common Stock, no par value	05/30/2017		P	568	A	\$ 12.338	1,999,404 (1)	I	See Footnote (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Date

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title an	nd	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	tiorNumber	Expiration D	ate	Amount o	of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underlyin	ıg	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	) Derivative	e		Securities		(Instr. 5)
	Derivative				Securities	3		(Instr. 3 an	nd 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									nount	
						Date	Expiration	or	,	
						Exercisable	sable Date	Title Number	mber	
				G 1 1	7 (1) (5)			of		
				Code \	V (A) (D)			Sha	ares	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting of the relation	Director	10% Owner	Officer	Other		
PRESCOTT GROUP CAPITAL MANAGEMENT, L.L.C. 1924 SOUTH UTICA, SUITE 1120 TULSA, OK 74104		X				
FROHLICH PHIL 1924 SOUTH UTICA, SUITE 1120 TULSA, OK 74014		X				
Signatures						
Phil Frohlich, manager of Prescott Group Capital Manageme	ent,					
L.L.C.		05/31/	2017			
**Signature of Reporting Person		Da	ate			
/s/ Phil Frohlich		05/31/	2017			

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\*Signature of Reporting Person

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The filing of this Form 4 shall not be construed as an admission that Prescott Group Capital Management, L.L.C. ("Prescott Capital") or Phil Frohlich, the manager of Prescott Capital, is or was, for the purposes of Section 16(a) of the Securities Exchange Act of 1934, as amended, or otherwise, the beneficial owner of any of the Common Stock, no par value (the "Common Stock"), of Nature's Sunshine

Reporting Owners 2

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Products, Inc. (the "Issuer") purchased by (i) Prescott Group Aggressive Small Cap Master Fund, G.P. (the "Master Fund") for the accounts of Prescott Group Aggressive Small Cap, L.P. or Prescott Group Aggressive Small Cap II, L.P. (together, the "Small Cap Funds") and (ii) a partnership of which Prescott Capital servces as the general partner (the "Account"). Pursuant to Rule 16a-1, both Prescott Capital and Phil Frohlich disclaim such beneficial ownership.

Prescott Capital holds indirectly the shares of Common Stock of the Issuer through (i) the account of the Master Fund, for which Prescott Capital is the investment manager and (ii) the Account, of which Prescott Capital is the general partner. Prescott Capital receives a

(2) portion of the profits in the form of a capital allocation from, and owns a partnership interest in, the Small Cap Funds. Prescott Capital owns a partnership interest in the Account. Phil Frohlich reports the Common Stock held indirectly by Prescott Capital because, as the manager of Prescott Capital at the time of purchase, he controlled the disposition and voting of the securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.