

IRVIN JOHN  
Form 4  
December 19, 2017

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
IRVIN JOHN

(Last) (First) (Middle)

127 INDUSTRY BOULEVARD

(Street)

NORTH HUNTINGDON, PA 15642

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ExOne Co [XONE]

3. Date of Earliest Transaction (Month/Day/Year)  
12/15/2017

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  | Code                           | V   | Amount (A) or (D) Price   |  |  |
| Common Stock, par value \$0.01  | 12/15/2017                           |  | G                              | V   | 6,666 (1) D \$ 0 147,634  | D  |  |
| Common Stock, par value \$0.01  | 12/15/2017                           |  | G                              | V   | 6,666 (1) A \$ 0 81,666   | I (2)  | By 20 20 Holdings, LLC                     |
| Common Stock, par value \$0.01  | 12/19/2017                           |  | G                              | V   | 29,000 (1) D \$ 0 118,634   | D  |  |
| Common Stock, par value \$0.01  | 12/19/2017                           |  | G                              | V   | 29,000 (1) A \$ 0 110,666   | I (2)  | By 20 20 Holdings, LLC                     |

Common Stock, par value \$0.01 3,000 I <sup>(3)</sup> By wife

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.** SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

## Reporting Owners

| Reporting Owner Name / Address                                     | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| IRVIN JOHN<br>127 INDUSTRY BOULEVARD<br>NORTH HUNTINGDON, PA 15642 |               |           | X       |       |

## Signatures

/s/ Loretta L. Benec, attorney-in-fact for John Irvin 12/19/2017

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a gift of shares by Mr. Irvin to 20 20 Holdings, LLC.
- (2) 20 20 Holdings, LLC is the owner of the listed shares. 20 20 Holdings is a limited liability company beneficially owned by Mr. Irvin's wife and Mr. Irvin, and of which Mr. Irvin is the sole manager and has sole power to vote and dispose of the shares.

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- (3) Mr. Irvin's wife is the owner of the 3,000 shares. Mr. Irvin disclaims beneficial ownership of these shares, and this report shall not be deemed to be an admission that Mr. Irvin is the beneficial owner of the securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.