Petrarca Mark A Form 4 February 18, 2011

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Petrarca Mark A

(Middle) (Last) (First)

A. O. SMITH CORPORATION, 11270 WEST PARK PLACE

(Street)

2. Issuer Name and Ticker or Trading Symbol

SMITH A O CORP [AOS]

3. Date of Earliest Transaction (Month/Day/Year) 02/17/2011

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

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Estimated average

burden hours per

(Check all applicable)

Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify below) Senior Vice Pres. - H.R. & P.A

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### MILWAUKEE, WI 53224

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secur	ities Acqui	red, Disposed of	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) ctiomr Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)	,	
Common Stock	02/17/2011		M	11,700	A	\$ 25.837	32,225	D	
Common Stock	02/17/2011		M	11,250	A	\$ 23.76	43,475	D	
Common Stock	02/17/2011		S	7,579	D	\$ 42.6	35,896	D	
Common Stock	02/17/2011		S	1,991	D	\$ 42.61	33,905	D	
Common Stock	02/17/2011		S	2,700	D	\$ 42.62	31,205	D	

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Common Stock	02/17/2011	S	3,121	D	\$ 42.63 28,084	D
Common Stock	02/17/2011	S	1,000	D	\$ 42.64 27,084	D
Common Stock	02/17/2011	S	1,350	D	\$ 42.65 25,734	D
Common Stock	02/17/2011	S	1,038	D	\$ 42.66 24,696	D
Common Stock	02/17/2011	S	696	D	\$ 42.67 24,000	D
Common Stock	02/17/2011	S	1,600	D	\$ 42.68 22,400	D
Common Stock	02/17/2011	S	838	D	\$ 42.69 21,562	D
Common Stock	02/17/2011	S	537	D	\$ 42.7 21,025	D
Common Stock	02/17/2011	S	500	D	\$ 42.71 20,525	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	erivative Expiration Day/Y curities (Month/Day/Y equired (A) Disposed of ) sstr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (Right to Buy)	\$ 25.837	02/17/2011		M	11,700	<u>(1)</u>	02/09/2017	Common Stock	11,700
Employee Stock	\$ 23.76	02/17/2011		M	11,250	(2)	02/11/2018	Common Stock	11,250

Options (Right to Buy)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Petrarca Mark A A. O. SMITH CORPORATION 11270 WEST PARK PLACE MILWAUKEE, WI 53224

Senior Vice Pres. -H.R. & P.A

## **Signatures**

James F. Stern, Attorney-in-Fact for Mark A. Petrarca

02/18/2011

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The employee stock options were granted on 02/09/2007, under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under rule 16b-3. The options became exercisable in three annual installments of 1/3 of the award starting on 02/08/2008.
- (2) The employee stock options were granted on 02/11/2008, under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under rule 16b-3. The options became exercisable in three annual installments of 1/3 of the award starting on 02/11/2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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