

Edgar Filing: ULTRALIFE BATTERIES INC - Form 4

ULTRALIFE BATTERIES INC

Form 4

January 15, 2003

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(h) of the Investment Company Act of 1940

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

Baird

Sheila

(Last)

(First)

(Middle)

100 Park Avenue

(Street)

New York

New York

10017

(City)

(State)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

Ultralife Batteries, Inc. (ULBI)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

January-December 2002 (same as dates below)

5. If Amendment, Dates of Original (Month/Day/Year)

January 2002 (3,23,24,25); March 2002 (22,25,26,27,28); April 4, 2002; May 31,
2002; June 2002 (4,5,19,27,28); July 23, 2002; August 15, 2002; September 2002
(20,23,24,25,30); October 2002 (1,2,11,14,15,17,22,23,25,28,29,31); November
2002 (6,7,8,11,12,13,15,19,22); and December 2002 (9,10,11,12,13,26,27,30,31).

6. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

☐ Director

☒ 10% Owner

☐ Officer (give title below)

☐ Other (specify below)

7. Individual or Joint/Group Filing (Check applicable line)

Edgar Filing: ULTRALIFE BATTERIES INC - Form 4

☒ Form filed by one Reporting Person
☐ Form filed by more than one Reporting Person

FORM 4

Table I-- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/Year)	2A. Deemed Execution Date, if any (Month/ Day/Year)	3. Transaction Code (Instr. 8) ----- Code V	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		
				Amount	(A) or (D)	Price
Common Stock	1/3/2002		P	153	A	4.363
Common Stock	1/23/2002		P	102	A	3.860
Common Stock	1/24/2002		P	51	A	3.870
Common Stock	1/25/2002		P	51	A	3.980
Common Stock	3/22/2002		P	275	A	3.330
Common Stock	3/25/2002		P	10	A	3.330
Common Stock	3/26/2002		P	357	A	3.330
Common Stock	3/27/2002		P	20	A	3.370
Common Stock	3/28/2002		P	61	A	3.343
Common Stock	4/4/2002		P	41	A	3.363
Common Stock	5/31/2002		P	10	A	3.150
Common Stock	6/4/2002		P	112	A	3.641
Common Stock	6/5/2002		P	112	A	3.962
Common Stock	6/19/2002		P	602	A	3.350
Common Stock	6/27/2002		P	51	A	3.479
Common Stock	6/28/2002		P	82	A	3.400
Common Stock	6/28/2002		P	1,275	A	3.366
Common Stock	7/23/2002		P	2,091	A	3.307
Common Stock	8/15/2002		P	510	A	2.500

Edgar Filing: ULTRALIFE BATTERIES INC - Form 4

Common Stock	9/20/2002	P	296	A	2.923
Common Stock	9/23/2002	P	1,030	A	2.994
Common Stock	9/24/2002	P	194	A	2.719
Common Stock	9/25/2002	P	31	A	2.940
Common Stock	9/30/2002	P	3,794	A	2.678
Common Stock	10/1/2002	P	388	A	2.714
Common Stock	10/2/2002	P	255	A	2.495
Common Stock	10/11/2002	P	112	A	2.186
Common Stock	10/14/2002	P	10	A	2.250
Common Stock	10/15/2002	P	449	A	2.005
Common Stock	10/15/2002	P	143	A	2.000
Common Stock	10/17/2002	P	530	A	1.800
Common Stock	10/22/2002	P	306	A	1.928
Common Stock	10/23/2002	P	612	A	2.030
Common Stock	10/25/2002	P	520	A	2.196
Common Stock	10/28/2002	P	275	A	2.014
Common Stock	10/29/2002	P	510	A	2.000
Common Stock	10/31/2002	P	388	A	1.987
Common Stock	10/31/2002	P	296	A	1.912
Common Stock	11/6/2002	P	1,377	A	2.056
Common Stock	11/7/2002	P	618	A	2.098
	(Print or Type Response)			(Over)	

Form 4 (continued)

Table I (continued)-- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

=====

1. Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/Year)	2A. Deemed Execution Date, if any (Month/ Day/Year)	3. Transaction Code (Instr. 8) ----- Code V	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- Amount or Price
				(A) or (D)

Edgar Filing: ULTRALIFE BATTERIES INC - Form 4

Common Stock	11/8/2002	P	765	A	2.023
Common Stock	11/11/2002	P	1,183	A	2.030
Common Stock	11/12/2002	P	1,020	A	2.080
Common Stock	11/13/2002	P	581	A	2.245
Common Stock	11/15/2002	P	571	A	2.298
Common Stock	11/19/2002	P	714	A	2.350
Common Stock	11/22/2002	P	10	A	2.689
Common Stock	12/9/2002	P	3,060	A	2.960
Common Stock	12/9/2002	P	1,173	A	2.988
Common Stock	12/10/2002	P	122	A	2.913
Common Stock	12/11/2002	P	51	A	2.950
Common Stock	12/12/2002	P	1,326	A	3.040
Common Stock	12/13/2002	P	5,100	A	3.090
Common Stock	12/26/2002	P	306	A	3.029
Common Stock	12/27/2002	P	109	A	3.029
Common Stock	12/30/2002	P	714	A	3.348
Common Stock	12/31/2002	P	804	A	3.622

Edgar Filing: ULTRALIFE BATTERIES INC - Form 4

(Print or Type Response)

(over)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any (Month/ Day/Year)	4. Trans- action Code (Instr. 8) ----- Code V	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Exer- cisable Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares

Explanation of Responses:

++ The securities reported on this filing are held in the account of an unregistered investment company over which the Reporting Person has investment discretion through the Reporting Person's position in the general partner of that entity. In addition, the Reporting Person has a direct limited partnership interest in that entity. The Reporting Person in turn has a pecuniary interest in the securities held by said unregistered investment company equal to her portion of the incentive allocation potentially made to the general partner of that entity each

Edgar Filing: ULTRALIFE BATTERIES INC - Form 4

year as well as an interest equal to the potential allocation made to her as a limited partner in that entity.

+++ This Form 4 filing is being made to amend those previously filed Form 4 filings submitted by the Reporting Person, which reported transactions in the securities of the Issuer effected from the beginning of January 2002 through the end of December 2002. This amendment now includes those securities that may be attributable to the Reporting Person by virtue of the Reporting Person's ownership of a limited partnership interest in an unregistered investment company that has holdings in the Issuer and which is managed by an entity that the Reporting Person partially controls.

/s/ Sheila Baird

January 13, 2003

**Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the Form is filed by more than one reporting person, see Instruction 4(b) (v) .

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a) .

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

Page 3

02962.0001 #376580