Edgar Filing: SIMPSON BARCLAY - Form 4

SIMPSON BARCLAY

Form 4

February 03, 2003

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . . 0.5

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

1. Name and A Barclay Simps	address of Reposition			ame and Ticl Ianufacturi i		6. Relationship of Reporting Person(s to Issuer (Check all applicable) X Director Y							
(Last) (First) (Middle)				rtin	entification N ng Person, (voluntary)	Number		atement for th/Day/Year 1/03	10% Owner X Officer (give title below) Other (specify below)				
Dublin, CA 94	(Street) 4568					Date	5. If Amendment, Date of Original (Month/Day/Year)		Chairman 7. Individual or Joint/Group Filing (Check Applicable Line) K Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip)				Гab	le I Non-D	erivat	ive Secu	urities Acquired, Di	Disposed of, or Beneficially Owned				
1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/	2A. Deemed Execution Date, if any	3. Transaction Code (Instr. 8		4. Securities or Disposed (Instr. 3, 4 &	of (D)		5. Amount of Securities Beneficially Owned Follow-	_	6. Owner- ship Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	Year)	(Month/Day/ Year)	Code	V	Amount	(A) or (D)		ing Reported Transactions(s) (Instr. 3 & 4)		(I) (Instr. 4)			
Common Stock	01/31/03		G		2,000,000	D	34.55	5,002	,142	D			
Common Stock	01/31/03		$\mathbf{J}_{\underline{-}}^{(1)}$		2,000,000	A	34.55	2,025	,000	I	See explanation below		
Common Stock								10	,002	I	By General Partnership		
Common Stock								4	,277	I	By Trust		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

FORM 4 (d	continue	0	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)												
		(.g., puis,	cans,	warrar	ns, options, conv	er tible seeu	rices)				1			

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Edgar Filing: SIMPSON BARCLAY - Form 4

Derivative Security	2. Conversion or Exercise Price of Derivative Security	Trans- action Date (Month/	Deemed Execution	Code (Instr. 8)		Number of Derivati Securitie		and Expiration Date (Month/Day/ Year) I		Amount of Underlying		Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	ship Form	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	٧	(A)		Exer-cisable	tion Date		or Number of Shares				
Options on Common Stock													250	D	
Options on Common Stock													250		
Options on Common Stock													250		
Options on Common Stock													250		
Options on Common Stock													250		
Options on Common Stock													250		
Options on Common Stock													250	D	
Options on Common Stock													250	D	

Explanation of Responses:

(1) The shares are owned by the Simpson PSB Fund, a California nonprofit public benefit corporation, of which Barclay Simpson is a member of the Board of Directors. Barclay Simpson disclaims beneficial ownership of these shares.

> By: /s/ Barclay Simpson Barclay simpson, Chairman

01/31/03 Date

**Signature of Reporting Person

^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Edgar Filing: SIMPSON BARCLAY - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.