#### ENGAGED CAPITAL II LP

Form 4/A May 15, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Welling Glenn W.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

below)

(Last)

(First) (Middle) JAMBA, INC. [JMBA] 3. Date of Earliest Transaction

(Month/Day/Year)

05/12/2015

X\_ Director X\_\_ 10% Owner Officer (give title Other (specify

ENGAGED CAPITAL, LLC, 610 NEWPORT CENTER DR. SUITE

(Street)

(State)

250

4. If Amendment, Date Original

Filed(Month/Day/Year) 05/14/2015

Α

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting Person

6. Individual or Joint/Group Filing(Check

NEWPORT BEACH, CA 92660

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Common

(City)

Stock, par value 05/12/2015 4,000

5,304 (3)

1,020,198

D

I

\$0.001 per share (1)

Common Stock, par value \$0.001 per

share (1)

Engaged Capital Master Feeder II, LP (4)

By:

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Common			By: Engaged
Stock, par value	(10.465	I	Capital
	610,465		Master
\$0.001 per share (1)			Feeder I,
share <u>(-)</u>			LP (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr. 5	ction	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amor Unde Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Maress	Director	10% Owner	Officer	Other		
Welling Glenn W. ENGAGED CAPITAL, LLC 610 NEWPORT CENTER DR. SUITE 250 NEWPORT BEACH, CA 92660	X	X				
Engaged Capital LLC 610 NEWPORT CENTER DRIVE SUITE 250 NEWPORT BEACH, CA 92660		Х				
Engaged Capital Holdings, LLC 610 NEWPORT CENTER DRIVE SUITE 250 NEWPORT BEACH, CA 92660		X				

Reporting Owners 2

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Engaged Capital Master Feeder I, LP

CRICKET SQUARE, HUTCHINS DRIVE See

P.O. BOX 2681 Footnote 1

GRAND CAYMAN, E9 KY1-1111

ENGAGED CAPITAL I LP

610 NEWPORT CENTER DRIVE See

SUITE 250 Footnote 1

NEWPORT BEACH, CA 90272

Engaged Capital I Offshore Ltd

610 NEWPORT CENTER DRIVE See

SUITE 250 Footnote 1

NEWPORT BEACH, CA 92660

Engaged Capital Master Feeder II, LP

CRICKET SQUARE, HUTCHINS DRIVE See

P.O. BOX 2681 Footnote 1

GRAND CAYMAN, E9 KY1-1111

ENGAGED CAPITAL II LP

610 NEWPORT CENTER DRIVE See

SUITE 250 Footnote 1

NEWPORT BEACH, CA 90272

Engaged Capital II Offshore, Ltd.

610 NEWPORT CENTER DRIVE See

SUITE 250 Footnote 1

\*\*Signature of Reporting Person

Engaged Capital Master Feeder II, LP; By: Engaged Capital, LLC; By: /s/ Glenn W. Welling,

\*\*Signature of Reporting Person

NEWPORT BEACH, CA 92660

## **Signatures**

**Authorized Signatory** 

Signatures

/s/ Glenn W. Welling	05/15/2015
**Signature of Reporting Person	Date
Engaged Capital, LLC; By: /s/ Glenn W. Welling, Authorized Signatory	05/15/2015
**Signature of Reporting Person	Date
Engaged Capital Holdings, LLC; By: /s/ Glenn W. Welling, Authorized Signatory	05/15/2015
**Signature of Reporting Person	Date
Engaged Capital Master Feeder I, LP; By: Engaged Capital, LLC; By: /s/ Glenn W. Welling, Authorized Signatory	05/15/2015
**Signature of Reporting Person	Date
Engaged Capital I, LP; By: Engaged Capital, LLC; By: /s/ Glenn W. Welling, Authorized Signatory	05/15/2015
**Signature of Reporting Person	Date
Engaged Capital I Offshore, Ltd.; By: /s/ Glenn W. Welling, Director	05/15/2015

Date

05/15/2015

Date

3

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Engaged Capital II, LP; By: Engaged Capital, LLC; By: /s/ Glenn W. Welling, Authorized Signatory

05/15/2015

\*\*Signature of Reporting Person

Date

Engaged Capital II Offshore Ltd.; By: /s/ Glenn W. Welling, Director

05/15/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Form 4 is filed jointly by Engaged Capital Master Feeder I, LP ("Engaged Capital Master I"), Engaged Capital Master Feeder II, LP ("Engaged Capital Master II"), Engaged Capital I, LP ("Engaged Capital I"), Engaged Capital I Offshore, Ltd. ("Engaged Capital Offshore"), Engaged Capital II, LP ("Engaged Capital II"), Engaged Capital II Offshore Ltd. ("Engaged Capital Offshore II"), Engaged Capital, LLC ("Engaged Capital"), Engaged Capital Holdings, LLC ("Engaged Holdings") and Glenn W. Welling (collectively, the

- "Reporting Persons"). Each of the Reporting Persons may be deemed to be a member of a Section 13(d) group that collectively beneficially owns more than 10% of the Issuer's outstanding shares of Common Stock. Mr. Welling is also a director of the Issuer. Each of the Reporting Persons disclaims beneficial ownership of the securities reported herein except to the extent of his or its pecuniary interest therein.
- The securities reported in this column consist of restricted stock units which give Mr. Welling a contingent right to receive at a future date one share of Common Stock for each restricted stock unit held. Of the 4,000 restricted stock units, 1,000 will vest on 8/12/15, 11/12/15, 2/12/16, and 5/12/16 or the date of the Issuer's 2016 annual meeting of stockholders if the meeting is held before such date.
- (3) The number of securities reported in this column includes 4,000 restricted stock units held by Mr. Welling as of the date of this filing.
  - Shares owned directly by Engaged Capital Master II. As feeder funds of Engaged Capital Master II, each of Engaged Capital II and Engaged Capital Offshore II may be deemed to beneficially own the shares owned directly by Engaged Capital Master II. As the general partner and investment advisor of Engaged Capital Master II, Engaged Capital may be deemed to beneficially own the shares
- owned directly by Engaged Capital Master II. Engaged Holdings, as the managing member of Engaged Capital, may be deemed to beneficially own the shares owned directly by Engaged Capital Master II. Mr. Welling, as the founder and Chief Investment Officer ("CIO") of Engaged Capital and sole member of Engaged Holdings, may be deemed to beneficially own the shares owned directly by Engaged Capital Master II.
  - Shares owned directly by Engaged Capital Master I. As feeder funds of Engaged Capital Master I, each of Engaged Capital I and Engaged Capital Offshore may be deemed to beneficially own the shares owned directly by Engaged Capital Master I. As the general partner and investment advisor of Engaged Capital Master I, Engaged Capital may be deemed to beneficially own the shares owned directly by Engaged Capital Master I. Engaged Holdings, as the managing member of Engaged Capital, may be deemed to beneficially
- directly by Engaged Capital Master I. Engaged Holdings, as the managing member of Engaged Capital, may be deemed to beneficially own the shares owned directly by Engaged Capital Master I. Mr. Welling, as the founder and CIO of Engaged Capital and sole member of Engaged Holdings, may be deemed to beneficially own the shares owned directly by Engaged Capital Master I.

#### **Remarks:**

This amendment has been filed to disclose that the Reporting Persons are now 10% shareholders following the decrease in the Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.