### **POLLACK DENNIS**

Form 4 April 29, 2019

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** OMB

3235-0287 Number:

January 31, Expires: 2005

0.5

Estimated average burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

(Print or Type Responses)

POLLACK DENNIS

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

Symbol PRUDENTIAL BANCORP, INC.

(Check all applicable)

[PBIP]

(Last) (First) (Middle)

(Street)

(State)

3. Date of Earliest Transaction (Month/Day/Year)

10% Owner \_X\_\_ Director Other (specify X\_ Officer (give title below)

(Zip)

04/25/2019

President and CEO

C/O PRUDENTIAL BANCORP, INC., 1834 WEST OREGON **AVENUE** 

(City)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

### PHILADELPHIA, PA 19145

						-	· •		•
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ection Date 2A. Deemed Pay/Year) Execution Date, if any (Month/Day/Year)		4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(mou. 1)	(Histi: 1)
Common Stock	04/25/2019		A	1,110	A	\$ 17.31	57,107	D	
Common Stock	04/26/2019		A	2,510	A	\$ 17.31	59,617 <u>(2)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

### Edgar Filing: POLLACK DENNIS - Form 4

#### number.

(Ir

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 18.46					03/21/2019(3)	03/21/2028	Common Stock	80,000
Stock Option	\$ 14.42					08/17/2017 <u>(4)</u>	08/17/2026	Common Stock	10,000
Stock Option	\$ 12.23					02/18/2016(5)	02/18/2025	Common Stock	30,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer		Other		

POLLACK DENNIS C/O PRUDENTIAL BANCORP, INC. 1834 WEST OREGON AVENUE

PHILADELPHIA, PA 19145

X

President and CEO

## **Signatures**

/s/ Jack E. Rothkopf, Attorney-in-Fact for Dennis
Pollack
04/29/2019

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$17.15 to \$17.31. The reporting person undertakes to provide to Prudential Bancorp, Inc., any security holder of Prudential Bancorp, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased within the range set forth in Footnote 1 of this Form 4.

**(2)** 

Reporting Owners 2

### Edgar Filing: POLLACK DENNIS - Form 4

Includes 10,000 shares which reflects the unvested portion of a grant originally covering 12,500 shares granted pursuant to the 2014 Stock Incentive Plan ("2014 SIP") that vest 20% per year commencing on March 31, 2019. Includes 1,500 shares which reflects the unvested portion of a grant originally covering 2,500 shares granted pursuant to the 2014 SIP that vest 20% per year commencing on August 17, 2017. Also includes 2,000 shares which reflects the unvested portion of a grant originally covering 10,000 shares granted pursuant to the 2014 SIP that vest 20% per year commencing on February 18, 2016.

- (3) The options vest at the rate of 20% per year commencing on March 21, 2019.
- (4) The options vest at the rate of 20% per year commencing on August 17, 2017.
- (5) The options vest at the rate of 20% per year commencing on February 18, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.