

Edgar Filing: NEW GERMANY FUND INC - Form NSAR-B

NEW GERMANY FUND INC

Form NSAR-B

March 01, 2004

PAGE 1  
000 B000000 12/31/2003  
000 C000000 0000858706  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 THE NEW GERMANY FUND, INC.  
001 B000000 811-5983  
001 C000000 8004376269  
002 A000000 345 PARK AVE  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10154  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 DEUTSCHE ASSET MANAGEMENT INTERNATIONAL GMBH  
008 B000001 S  
008 C000001 801-20289  
008 D010001 FRANKFURT  
008 D050001 GERMANY  
008 D060001 60325  
008 A000002 DEUTSCHE BANK SECURITIES INC.  
008 B000002 A  
008 C000002 801-9638  
008 D010002 NEW YORK  
008 D020002 NY  
008 D030002 10005  
010 A000001 INVESTORS BANK & TRUST COMPANY  
010 B000001 84-5987  
010 C010001 Boston  
010 C020001 MA  
010 C030001 02111  
011 A000001 DELETE  
011 A000002 DELETE  
011 A000003 DELETE  
012 A000001 INVESTORS BANK & TRUST COMPANY  
012 B000001 84-5987  
012 C010001 BOSTON  
012 C020001 MA  
012 C030001 02111  
013 A000001 PRICewaterhouseCOOPERS  
013 B010001 NEW YORK  
013 B020001 NY  
PAGE 2  
013 B030001 10036  
014 A000001 Scudder Investor Services Inc.  
014 B000001 8-000298  
014 A000002 Scudder Distributors Inc.  
014 B000002 8-047765  
014 A000003 Scudder Financial Services Inc.

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014 B000003 8-049521  
014 A000004 Ameritrade  
014 B000004 8-016335  
014 A000005 Deutsche Securities Australia Inc.  
014 B000005 8-031670  
014 A000006 BT Brokerage Corporation  
014 B000006 8-034120  
014 A000007 Deutsche Banc Alex. Brown Inc.  
014 B000007 8-035766  
014 A000008 Tradeweb LLC  
014 B000008 8-049994  
014 A000009 MarketAxess Corporation  
014 B000009 8-050727  
014 A000010 \*BrokerTec USA LLC  
014 B000010 8-051803  
014 A000011 \*Creditex  
014 B000011 8-051918  
014 A000012 AdirondackTrading Partners LLC  
014 B000012 8-052384  
014 A000013 \*Bondbook Holdings, LLC  
014 B000013 8-052679  
014 A000014 NDB Capital Markets, LP  
014 B000014 8-013967  
014 A000015 Deutsche Bank Securities Inc.  
014 B000015 8-017822  
014 A000016 Alfa Menkul Degerler AS  
014 B000016 8-00000  
014 A000017 Bankers Trust A.S.  
014 B000017 8-00000  
014 A000018 Bankers Trust Argentina S.A.  
014 B000018 8-00000  
014 A000019 Bankers Trust Caribe Capital Markets, Inc.  
014 B000019 8-00000  
014 A000020 Bankers Trust International PLC  
014 B000020 8-00000  
014 A000021 Bankers Trust Investments PLC  
014 B000021 8-00000  
014 A000022 Bankers Trust Menkul Degerler AS  
014 B000022 8-00000  
014 A000023 Bankers Trust Poland Sp.Z. o.o.  
014 B000023 8-00000  
014 A000024 Bankers Trust S.A. Agente Del Mercado Abierto  
014 B000024 8-00000  
014 A000025 Bankers Trust Securities (Pacific) Ltd (HK)  
014 B000025 8-00000

PAGE 3

014 A000026 Bankers Trustee Company Limited  
014 B000026 8-00000  
014 A000027 Benchmark Securities Management Limited  
014 B000027 8-00000  
014 A000028 Bender Menkul Degerler A.S.  
014 B000028 8-00000  
014 A000029 BT (Far East) Limited  
014 B000029 8-00000  
014 A000030 BT Asia Securities Limited  
014 B000030 8-00000  
014 A000031 BT Brokerage (Philippines) Inc.  
014 B000031 8-00000  
014 A000032 BT Brokerage and Associates PTE Ltd  
014 B000032 8-00000  
014 A000033 BT Financial Trading  
014 B000033 8-00000

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014 A000034 BT France S.A.  
014 B000034 8-00000  
014 A000035 BT Futures (Singapore) Ltd.  
014 B000035 8-00000  
014 A000036 BT Notes Limited  
014 B000036 8-00000  
014 A000037 BT Securities (Europe) Limited  
014 B000037 8-00000  
014 A000038 DB (Russia) Limited  
014 B000038 8-00000  
014 A000039 DB Arbitrage Limited  
014 B000039 8-00000  
014 A000040 DB Broker GmbH  
014 B000040 8-00000  
014 A000041 DB Corretora-SociedadeCorretoradeValoresMobi  
014 B000041 8-00000  
014 A000042 DB Equity Limited  
014 B000042 8-00000  
014 A000043 DB Forex Corporation  
014 B000043 8-00000  
014 A000044 DB Investment Resources (US) Corporation  
014 B000044 8-00000  
014 A000045 Deutsche Asset Management (Australia Limited)  
014 B000045 8-00000  
014 A000046 Deutsche Asset Management (Int'l) Ltd  
014 B000046 8-00000  
014 A000047 Deutsche Asset Management Inv Services Ltd  
014 B000047 8-00000  
014 A000048 Deutsche Asset Management Life&Pensions Ltd  
014 B000048 8-00000  
014 A000049 Deutsche Asset Management Limited  
014 B000049 8-00000  
014 A000050 Deutsche Bank (Cayman) Limited  
014 B000050 8-00000  
014 A000051 Deutsche Bank AG  
PAGE 4  
014 B000051 8-00000  
014 A000052 Deutsche Bank AG (London Branch)  
014 B000052 8-00000  
014 A000053 Deutsche Bank AG (Sydney Branch)  
014 B000053 8-00000  
014 A000054 Deutsche Bank AG Tokyo Branch  
014 B000054 8-00000  
014 A000055 Deutsche Bank AG, Hong Kong Branch  
014 B000055 8-00000  
014 A000056 Deutsche Bank Canada  
014 B000056 8-00000  
014 A000057 Deutsche Bank Corretora de Valores SA  
014 B000057 8-00000  
014 A000058 Deutsche Bank Futures Inc.  
014 B000058 8-00000  
014 A000059 Deutsche Bank International Limited  
014 B000059 8-00000  
014 A000060 Deutsche Bank SA - Banco Alemao  
014 B000060 8-00000  
014 A000061 Deutsche Bank Securities (Pty) Limited  
014 B000061 8-00000  
014 A000062 Deutsche Bank Societa di Intermediazione Mob  
014 B000062 8-00000  
014 A000063 Deutsche Bank Trust Company Americas  
014 B000063 8-00000  
014 A000064 Deutsche Capital Markets Australia Limited

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014 B000064 8-00000  
014 A000065 Deutsche Corporate Finance New Zealand Ltd  
014 B000065 8-00000  
014 A000066 Deutsche Finance New Zealand Limited  
014 B000066 8-00000  
014 A000067 Deutsche Financial Planning Limited  
014 B000067 8-00000  
014 A000068 Deutsche Funds Management Limited  
014 B000068 8-00000  
014 A000069 Deutsche Futures Australia Limited  
014 B000069 8-00000  
014 A000070 Deutsche Futures Hong Kong Limited  
014 B000070 8-00000  
014 A000071 Deutsche Futures London Limited  
014 B000071 8-00000  
014 A000072 Deutsche Futures New Zealand Limited  
014 B000072 8-00000  
014 A000073 Deutsche Futures Singapore  
014 B000073 8-00000  
014 A000074 Deutsche Investment Trust Managers Ltd (57%)  
014 B000074 8-00000  
014 A000075 Deutsche Investments Funds Limited  
014 B000075 8-00000  
014 A000076 Deutsche Management New Zealand Limited  
014 B000076 8-00000  
PAGE 5  
014 A000077 Deutsche New Zealand Limited  
014 B000077 8-00000  
014 A000078 Deutsche Property Asset Management Limited  
014 B000078 8-00000  
014 A000079 Deutsche Regis Partners Inc  
014 B000079 8-00000  
014 A000080 Deutsche Securities (India) Private Limited  
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014 A000081 Deutsche Securities Asia Limited  
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014 A000082 Deutsche Securities Asia Limited Hong Kong  
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014 A000083 Deutsche Securities Asia Limited Singapore  
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014 A000084 Deutsche Securities Asia Limited Taipei  
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014 A000085 Deutsche Securities Corredores de Bolsa Ltda  
014 B000085 8-00000  
014 A000086 Deutsche Securities India Pvt Ltd  
014 B000086 8-00000  
014 A000087 Deutsche Securities Korea Co  
014 B000087 8-00000  
014 A000088 Deutsche Securities Limited Tokyo Branch  
014 B000088 8-00000  
014 A000089 Deutsche Securities New Zealand Limited  
014 B000089 8-00000  
014 A000090 Deutsche Securities Soc de Valores y Bolsa So  
014 B000090 8-00000  
014 A000091 Deutsche Securitisation Australia Pty Limited  
014 B000091 8-00000  
014 A000092 Deutsche Structured Finance Australia Limited  
014 B000092 8-00000  
014 A000093 Deutsche Unit Trust Managers Limited  
014 B000093 8-00000  
014 A000094 Didier Philippe S.A. (dormant)  
014 B000094 8-00000

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014 A000095 DMG & Partners Securities Pte Ltd  
014 B000095 8-00000  
015 A000001 Citibank, N.A.  
015 B000001 S  
015 C010001 .  
015 D010001 Argentina  
015 A000002 National Australia Bank Ltd.  
015 B000002 S  
015 C010002 .  
015 D010002 Australia  
015 A000003 Bank Austria Creditanstalt (HVB Bank)  
015 B000003 S  
015 C010003 .  
015 D010003 Austria  
015 A000004 Standard Chartered Bank

PAGE 6

015 B000004 S  
015 C010004 .  
015 D010004 Bangladesh  
015 A000005 BNP Paribas  
015 B000005 S  
015 C010005 .  
015 D010005 Belgium  
015 A000006 Societe Generale  
015 B000006 S  
015 C010006 .  
015 D010006 Benin (Ivory Coast)  
015 A000007 Bank of Bermuda Limited  
015 B000007 S  
015 C010007 .  
015 D010007 Bermuda  
015 A000008 Citibank N.A.  
015 B000008 S  
015 C010008 .  
015 D010008 Bolivia  
015 A000009 Barclays Bank of Botswana  
015 B000009 S  
015 C010009 .  
015 D010009 Botswana  
015 A000010 BankBoston Brazil  
015 B000010 S  
015 C010010 .  
015 D010010 Brazil  
015 A000011 ING Bank  
015 B000011 S  
015 C010011 .  
015 D010011 Bulgaria  
015 A000012 Societe Generale  
015 B000012 S  
015 C010012 .  
015 D010012 Burkina-Faso Ivory C  
015 A000013 Royal Bank of Canada  
015 B000013 S  
015 C010013 .  
015 D010013 Canada  
015 A000014 Citibank, Chile  
015 B000014 S  
015 C010014 .  
015 D010014 Chile  
015 A000015 Standard Chartered Bank  
015 B000015 S  
015 C010015 .

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015 D010015 China-Shanghai  
015 A000016 Standard Chartered Bank  
015 B000016 S  
015 C010016 .  
015 D010016 China-Shenzhen  
PAGE 7  
015 A000017 Clearstream  
015 B000017 S  
015 C010017 .  
015 D010017 Clearstream  
015 A000018 Cititrust Colombia S.A.  
015 B000018 S  
015 C010018 .  
015 D010018 Colombia  
015 A000019 Banco BCT S.A.  
015 B000019 S  
015 C010019 .  
015 D010019 Costa Rica  
015 A000020 Societe Generale  
015 B000020 S  
015 C010020 .  
015 D010020 Cote d'Ivoire Ivory C  
015 A000021 Splitska banka d.d. (HVB Bank)  
015 B000021 S  
015 C010021 .  
015 D010021 Croatia  
015 A000022 Cyprus Popular Bank  
015 B000022 S  
015 C010022 .  
015 D010022 Cyprus  
015 A000023 Citibank A.S.  
015 B000023 S  
015 C010023 .  
015 D010023 Czech Republic  
015 A000024 SEB  
015 B000024 S  
015 C010024 .  
015 D010024 Denmark  
015 A000025 Citibank A.S.  
015 B000025 S  
015 C010025 .  
015 D010025 Ecuador  
015 A000026 Citibank N.A.  
015 B000026 S  
015 C010026 .  
015 D010026 Egypt  
015 A000027 Hansabank  
015 B000027 S  
015 C010027 .  
015 D010027 Estonia  
015 A000028 SEB  
015 B000028 S  
015 C010028 .  
015 D010028 Finland  
015 A000029 BNP Paribas  
015 B000029 S  
015 C010029 .  
PAGE 8  
015 D010029 France  
015 A000030 BNP Paribas  
015 B000030 S  
015 C010030 .

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015 D010030 Germany  
015 A000031 Barclays Bank of Ghana  
015 B000031 S  
015 C010031 .  
015 D010031 Ghana  
015 A000032 BNP Paribas  
015 B000032 S  
015 C010032 .  
015 D010032 Greece  
015 A000033 Societe Generale  
015 B000033 S  
015 C010033 .  
015 D010033 Guinea Bissau  
015 A000034 Standard Chartered Bank  
015 B000034 S  
015 C010034 .  
015 D010034 Hong Kong  
015 A000035 HVB Bank (Bank Austria)  
015 B000035 S  
015 C010035 .  
015 D010035 Hungary  
015 A000036 Arion Custody Services (subsidiary of Kaupthi  
015 B000036 S  
015 C010036 .  
015 D010036 Iceland  
015 A000037 Standard Chartered Grindlays Bank, Mumbai  
015 B000037 S  
015 C010037 .  
015 D010037 India  
015 A000038 Standard Chartered Bank  
015 B000038 S  
015 C010038 .  
015 D010038 Indonesia  
015 A000039 Bank of Ireland  
015 B000039 S  
015 C010039 .  
015 D010039 Ireland  
015 A000040 Bank Hapoalim  
015 B000040 S  
015 C010040 .  
015 D010040 Israel  
015 A000041 BNP Paribas  
015 B000041 S  
015 C010041 .  
015 D010041 Italy  
015 A000042 Standard Chartered Bank  
015 B000042 S  
PAGE 9  
015 C010042 .  
015 D010042 Japan  
015 A000043 Citibank N.A.  
015 B000043 S  
015 C010043 .  
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015 A000044 Barclays Bank Kenya  
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015 A000045 Standard Chartered Bank  
015 B000045 S  
015 C010045 .  
015 D010045 Korea

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015 A000046 Hansabank, Latvia  
015 B000046 S  
015 C010046 .  
015 D010046 Latvia  
015 A000047 Vilniaus Bankas AB  
015 B000047 S  
015 C010047 .  
015 D010047 Lithuania  
015 A000048 Clearstream  
015 B000048 S  
015 C010048 .  
015 D010048 Luxembourg  
015 A000049 Standard Chartered Bank Malaysia BHD  
015 B000049 S  
015 C010049 .  
015 D010049 Malaysia  
015 A000050 Societe Generale  
015 B000050 S  
015 C010050 .  
015 D010050 Mali (Ivory Coast)  
015 A000051 HSBC Bank, Malta plc  
015 B000051 S  
015 C010051 .  
015 D010051 Malta  
015 A000052 HongKong Shanghai Banking Corp.  
015 B000052 S  
015 C010052 .  
015 D010052 Mauritius  
015 A000053 Bancomer S.A.  
015 B000053 S  
015 C010053 .  
015 D010053 Mexico  
015 A000054 Citibank Magreb  
015 B000054 S  
015 C010054 .  
015 D010054 Morocco  
015 A000055 Fortis Bank  
PAGE 10  
015 B000055 S  
015 C010055 .  
015 D010055 Netherlands  
015 A000056 National Nominees Ltd.  
015 B000056 S  
015 C010056 .  
015 D010056 New Zealand  
015 A000057 Societe Generale  
015 B000057 S  
015 C010057 .  
015 D010057 Nigeria Ivory Coast  
015 A000058 Union Bank of Norway  
015 B000058 S  
015 C010058 .  
015 D010058 Norway  
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015 C010059 .  
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015 A000060 Standard Chartered Bank  
015 B000060 S  
015 C010060 .  
015 D010060 Pakistan  
015 A000061 BankBoston Panama



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015 B000061 S  
015 C010061 .  
015 D010061 Panama  
015 A000062 Citibank N.A.  
015 B000062 S  
015 C010062 .  
015 D010062 Peru  
015 A000063 Standard Chartered Bank  
015 B000063 S  
015 C010063 .  
015 D010063 Phillipines  
015 A000064 Bank Przemyslowo-Handlowy PBK ("BPH PBK SA" -  
015 B000064 S  
015 C010064 .  
015 D010064 Poland  
015 A000065 Citibank Portugal S.A.  
015 B000065 S  
015 C010065 .  
015 D010065 Portugal  
015 A000066 HVB Bank (Bank Austria)  
015 B000066 S  
015 C010066 .  
015 D010066 Romania  
015 A000067 ZAO Citibank  
015 B000067 S  
015 C010067 .  
015 D010067 Russia  
    PAGE 11  
015 A000068 Societe Generale  
015 B000068 S  
015 C010068 .  
015 D010068 Senegal (Ivory Coast  
015 A000069 HVB Bank, Belgrade  
015 B000069 S  
015 C010069 .  
015 D010069 Serbia and Montenegr  
015 A000070 Standard Chartered Bank  
015 B000070 S  
015 C010070 .  
015 D010070 Singapore  
015 A000071 ING Bank  
015 B000071 S  
015 C010071 .  
015 D010071 Slovak Republic  
015 A000072 Bank Austria d.d. Ljublijana (HVB Bank  
015 B000072 S  
015 C010072 .  
015 D010072 Slovenia  
015 A000073 Standard Bank of South Africa  
015 B000073 S  
015 C010073 .  
015 D010073 South Africa  
015 A000074 BNP Paribas  
015 B000074 S  
015 C010074 .  
015 D010074 Spain  
015 A000075 Standard Chartered Bank  
015 B000075 S  
015 C010075 .  
015 D010075 Sri Lanka  
015 A000076 Svenska Handelsbanken  
015 B000076 S

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015 C010076 .  
015 D010076 Sweden  
015 A000077 Bank Leu  
015 B000077 S  
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015 A000078 Standard Chartered Bank  
015 B000078 S  
015 C010078 .  
015 D010078 Taiwan  
015 A000079 Standard Chartered Bank  
015 B000079 S  
015 C010079 .  
015 D010079 Thailand  
015 A000080 Societe Generale  
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015 C010080 .  
PAGE 12  
015 D010080 Togo (Ivory Coast)  
015 A000081 Garanti Bank  
015 B000081 S  
015 C010081 .  
015 D010081 Turkey  
015 A000082 ING Bank  
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015 C010082 .  
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015 B000083 S  
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015 D010085 Venezuela  
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015 D010086 Zambia  
015 A000087 Barclays Bank of Zimbabwe Ltd.  
015 B000087 S  
015 C010087 .  
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015 A000088 INVESTORS BANK & TRUST COMPANY  
015 B000088 C  
015 C010088 BOSTON  
015 C020088 MA  
015 C030088 02111  
015 A000089 Euroclear  
015 B000089 C  
015 C010089 .  
015 D010089 Euroclear  
015 A000090 Depository and Clearing Centre  
015 B000090 C  
015 C010090 .  
015 D010090 Tranational  
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019 A000000 Y

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019 B000000 201  
 019 C000000 SCUDDERINV  
 020 A000001 DEUTSCHE BANK  
 020 B000001 13-6124068  
 020 C000001 132  
 020 A000002 UBS AG  
 020 B000002 13-3873456  
 PAGE 13  
 020 C000002 73  
 020 A000003 GOLDMAN SACHS & CO.  
 020 B000003 13-5108880  
 020 C000003 50  
 020 A000004 COMMERZBANK  
 020 C000004 42  
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 020 C000005 37  
 020 A000006 OPPENHEIMER CAPITAL  
 020 B000006 13-2798343  
 020 C000006 26  
 020 A000007 DRESDNER BANK  
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 020 A000008 CREDIT AGRICOLE  
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062	H000000	0.0	
062	I000000	0.0	
062	J000000	0.0	
062	K000000	0.0	
062	L000000	0.0	
062	M000000	0.0	
062	N000000	0.0	
062	O000000	0.0	
062	P000000	0.0	
062	Q000000	0.0	
062	R000000	0.0	
063	A000000	0	
063	B000000	0.0	
066	A000000	Y	
066	B000000	N	
066	C000000	Y	
066	D000000	N	
066	E000000	N	

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066 F000000 N  
 066 G000000 N  
 067 000000 N  
 068 A000000 N  
 068 B000000 Y  
 069 000000 N  
 070 A010000 Y  
 070 A020000 N  
 070 B010000 Y  
 070 B020000 N  
 070 C010000 Y  
 070 C020000 N  
 070 D010000 Y  
 070 D020000 N  
 070 E010000 Y  
 070 E020000 N  
 070 F010000 Y  
 070 F020000 N  
 070 G010000 N  
 070 G020000 N  
 070 H010000 N  
 070 H020000 N  
 070 I010000 N  
 070 I020000 N  
 070 J010000 N  
 070 J020000 N  
 070 K010000 N  
 070 K020000 N  
 070 L010000 Y

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070 L020000 Y  
 070 M010000 Y  
 070 M020000 Y  
 070 N010000 Y  
 070 N020000 N  
 070 O010000 Y  
 070 O020000 N  
 070 P010000 Y  
 070 P020000 N  
 070 Q010000 N  
 070 Q020000 N  
 070 R010000 N  
 070 R020000 N  
 071 A000000 142604  
 071 B000000 143995  
 071 C000000 165677  
 071 D000000 86  
 072 A000000 12  
 072 B000000 37  
 072 C000000 2420  
 072 D000000 0  
 072 E000000 0  
 072 F000000 1559  
 072 G000000 0  
 072 H000000 0  
 072 I000000 0  
 072 J000000 186  
 072 K000000 0  
 072 L000000 185  
 072 M000000 201  
 072 N000000 0  
 072 O000000 0

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072 P000000	0
072 Q000000	0
072 R000000	52
072 S000000	118
072 T000000	0
072 U000000	0
072 V000000	0
072 W000000	75
072 X000000	2376
072 Y000000	3
072 Z000000	84
072AA000000	27093
072BB000000	19816
072CC010000	105324
072CC020000	0
072DD010000	664
072DD020000	0
072EE000000	0
073 A010000	0.0250
PAGE 18	
073 A020000	0.0000
073 B000000	0.0000
073 C000000	0.0000
074 A000000	1053
074 B000000	0
074 C000000	0
074 D000000	0
074 E000000	0
074 F000000	229557
074 G000000	0
074 H000000	0
074 I000000	0
074 J000000	416
074 K000000	0
074 L000000	159
074 M000000	0
074 N000000	231185
074 O000000	164
074 P000000	170
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	264
074 S000000	0
074 T000000	230587
074 U010000	26444
074 U020000	0
074 V010000	8.72
074 V020000	0.00
074 W000000	0.0000
074 X000000	1640
074 Y000000	0
075 A000000	0
075 B000000	170145
076 000000	7.16
077 A000000	Y
077 B000000	Y
077 C000000	N
077 D000000	N
077 H000000	Y
077 Q010000	Y

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077 Q030000 Y  
078 000000 N  
080 A000000 Continental Casualty Insurance  
080 B000000 None  
080 C000000 2000  
081 A000000 Y  
081 B000000 2  
082 A000000 Y  
082 B000000 25  
PAGE 19  
083 A000000 N  
083 B000000 0  
084 A000000 N  
084 B000000 0  
085 A000000 Y  
085 B000000 N  
086 A010000 0  
086 A020000 0  
086 B010000 1079  
086 B020000 6196  
086 C010000 0  
086 C020000 0  
086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 COMMON STOCK  
087 A020000 644465106  
087 A030000 GF  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 N  
SIGNATURE CHARLES RIZZO  
TITLE CHIEF FINANCIAL OFFICER AND TREASURER OF THE FUND