

KEHAYA MARK W
Form 5
July 03, 2018

FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
KEHAYA MARK W

2. Issuer Name and Ticker or Trading Symbol
ALLIANCE ONE INTERNATIONAL, INC. [AOI]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
03/31/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

C/O ALLIANCE ONE INTERNATIONAL, INC., 8001 AERIAL CENTER PARKWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting
(check applicable line)

MORRISVILLE, NC 27560

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|---|
| | | | | (A) Amount or (D) Price | | | |
| COMMON STOCK | 01/03/2018 | ^ | G | 27,396 (4) A \$ 0 | 155,882 (1) | D | ^ |
| COMMON STOCK | 01/22/2018 | ^ | G4 | 549 (2) A \$ 0 | 156,431 | D | ^ |
| COMMON STOCK | 01/22/2018 | ^ | G4 | 549 (2) D \$ 0 | 0 | I | By wife |

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| | | | | | | | | | |
|--------------|---|---|---|---|---|---|----------------------|---|---|
| COMMON STOCK | Â | Â | Â | Â | Â | Â | 134,124 | I | By Helga L Kehaya Trust, Trustee Mark Kehaya |
| COMMON STOCK | Â | Â | Â | Â | Â | Â | 1,308 ⁽³⁾ | I | By wife as UTMA custodian for daughter |
| COMMON STOCK | Â | Â | Â | Â | Â | Â | 540 ⁽³⁾ | I | By wife as UTMA custodian for son |
| COMMON STOCK | Â | Â | Â | Â | Â | Â | 395 | I | 401(k) |
| COMMON STOCK | Â | Â | Â | Â | Â | Â | 165,950 | I | U.S. Trust Company of Delaware Administrative Trustee of the Kehaya QTIP FBO Helga Kehaya |
| COMMON STOCK | Â | Â | Â | Â | Â | Â | 86,032 | I | Reliance Trust Co, Mark Kehaya, Ery W. Kehaya and Elizabeth Kehaya, co-trustees, Ery W. Kehaya CLAT |
| COMMON STOCK | Â | Â | Â | Â | Â | Â | 7,485 | I | Reliance Trust Company Co-Trustee of the Kehaya GST Ex Tr FBO Ery W. Kehaya |
| COMMON STOCK | Â | Â | Â | Â | Â | Â | 15,040 | I | Reliance Trust Company Co-Tustee of the Kehaya GST Ex Tr FBO Mark Kehaya |
| COMMON STOCK | Â | Â | Â | Â | Â | Â | 7,485 | I | Reliance Trust Company |

Co-Trustee of
the Kehaya
GST Ex Tr
FBO Elizabeth
Kehaya

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. of D Se B O E Is Fi (I |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---------------------------|
| | | | | | (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| KEHAYA MARK W C/O ALLIANCE ONE INTERNATIONAL, INC. 8001 AERIAL CENTER PARKWAY MORRISVILLE, NC 27560 | Â X | Â | Â | Â |

Signatures

LAURA D. JONES,
ATTORNEY-IN-FACT 07/03/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Mr. Kehaya's total direct ownership shown on Form 4s filed during the fiscal year was overstated by 1,400 common shares as a result of an administrative error. The Amount of Securities Beneficially Owned at End of Issuer's Fiscal Year corrects this administrative error.
- (2) Shares held by Mr. Kehaya's wife were transferred into an account owned jointly by Mr. Kehaya and his wife

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- (3) Shares previously were underreported by 380 common shares as a result of an administrative error
- (4) Shares received as a gift from Mr. Kehaya's mother's estate

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