Edgar Filing: GENTA INCORPORATED /DE/ - Form 4

GENTA INCORPORATED /DE/

Form 4 March 08, 2002

FORM 4

OMB APPROVAL OMB Number: 3235-0104 Expires December 31, 2001 Estimated average burden Hours per response 0.5

U.S. SECURITIES AND EXCHANGE COMMISSION WASHINGTON, DC 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

/ / CHECK BOX IF NO INSTRUCTION 1(b).

LONGER SUBJECT TO

SECTION 16. FORM 4

OR FORM 5 OBLIGATION

MAY CONTINUE. SEE

INSTRUCTION 1(b)

Filed pursuant to Section 16(a) of the Securities

Exchange Act of 1934, Section 17(a) of the

Public Utility Holding Company Act of 1935

or Section 30(f) of the Investment Company Act of 1940

1. Name and Klem	Address of Reporti	ing Person* E.	2. Issuer Name and Ticker or Trading Symbol 6. Genta Incorporated (Nasdaq: GNTA)
	(Last) (First) c/o Genta Incorporated Two Connell Drive		3. IRS Identification 4. Statement for Number of Reporting Month/Year Person, if an Entity February 2002 (Voluntary)
Berkeley He	(Street) eights NJ	07922	5. If Amendment, Date of Original - (Month/Year)
(City)	(State)	(Zip)	7.

TABLE 1 -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIA

1. Title of Security (Instr. 3)	2. Trans- action Date	tio Cod	n	or Disp	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		
	(Month/ Day/						
	Year)	Code	V	Amount	(A) or (D)	Price	

Common Stock, par value \$.001	2/01/02	Х	6,000	Α	\$0.94375
Common Stock, par value \$.001	2/01/02	S	6,000	D	\$13.4750

5. Amount o curities cially C End of M (Instr.

Edgar Filing: GENTA INCORPORATED /DE/ - Form 4

\$.001 2/15/		6	,000	A	\$0.94375	
		6	,000	D	\$12.7550	
		Person, see I s of securiti	nstruct es bene	cion 4(b) eficially	(v). owned di	
		(Print c	r Type	Response)	
QUIRED TO RESPO VALID OMB CO	OND UNLESS ONTROL NUMB	THE FORM DISF				
(E.G						
sion or Exercise	action Date (Month/	action Code (Instr.	Deri Secu Acqu or I of (Ins	ivative urities uired (A) Disposed (D) str. 3,	cisable and Expiration Date (Month/Day/ Year)	
				Date	Expira-	
\$0.94375	2/01/02	X		6,000	3/31/99	5/28/08
	2, 01, 02	Λ		0,000	0, 01, 33	
\$0.94375					 3/31/99	5/28/08
2	more than one parate line for CE TO RESPOND TO CE TO RESPOND TO SEC14" (E.G. CE TO RESPOND TO SEC14" (E.G. CE TO RESPOND TO RESPON	TABLE II - (E.G., PUTS, CA 2. Conver- sion or Exercise Price of Deriv- ative Year)	more than one Reporting Person, see Inparate line for each class of securiti (Print of the Collection of Info (Print of the Collection of Info (Print of the Collection of the	TABLE II — DERIVATIVE SECURIT (E.G., PUTS, CALLS, WARRANTS, OPTIC (E.G., PUTS, CALLS, WARRANTS, OPTIC 2. Conver— 3. Trans— 4. Trans— 5. Numbursion or action action Derivative of (Month/ (Instr. Acquired to perive of (Month/ (Instr. Acquired to perive of Month/ (Instr. Acquired to perive of Security (Instr.) Security (Instr.)	more than one Reporting Person, see Instruction 4(b) sparate line for each class of securities beneficially (Print or Type Response E TO RESPOND TO THE COLLECTION OF INFORMATION CONTAIN QUIRED TO RESPOND UNLESS THE FORM DISPLAYS A CURRENTL VALID OMB CONTROL NUMBER. SEC1474 (3-99) TABLE II DERIVATIVE SECURITIES BENE (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONV 2. Conver- 3. Trans- 4. Trans- 5. Number of sion or action action Derivative Exercise Date Code Securities Price of (Month/ (Instr. Acquired (A) Deriv- Day/ 8) or Disposed ative Year) of (D) Security (Instr. 3, 4, and 5)	more than one Reporting Person, see Instruction 4(b)(v). parate line for each class of securities beneficially owned discovered to the formula of the collection of information contained exposed to respond unless the form displays a currently valid ome control number. SEC1474 (3-99) TABLE II DERIVATIVE SECURITIES BENEFICIALLY (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SINGLE of the collection

Edgar Filing: GENTA INCORPORATED /DE/ - Form 4

1. Title of Derivative 9. Number of 10. Ownership 11. Nature of Security Derivative Form of Indirect (Instr. 3) Securities Derivative Beneficial Beneficially Security: Ownership Owned at End Direct (D) (Instr. 4) of Month or Indirect (I) (Instr. 4) Beneficial Options to acquire 625,353 D Common Stock(2) Explanation of Responses:

(1) Does not include 12,000 shares held by the Reporting Person's children's individual retirement (2) Issued under the Company's 1998 Employee Stock Incentive Plan.

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/ **Si

Note. File three copies of this form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

POTENTIAL PERSONS WHO ARE TO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED IN THIS FORM ARE NOT REQUIRED TO RESPOND UNLESS THE FORM DISPLAYS A CURRENTLY VALID OMB CONTROL NUMBER.