

Edgar Filing: OPTICARE HEALTH SYSTEMS INC - Form 4

OPTICARE HEALTH SYSTEMS INC

Form 4

April 10, 2002

FORM 4

[] Check this box if no longer subject
to Section 16. Form 4 or Form 5
obligations may continue. See
Instruction (b).

U.S. SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*

Walls Christopher J.

(Last) (First) (Middle)

c/o OptiCare Health Systems, Inc.
87 Grandview Avenue

(Street)

Waterbury CT 06708

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

OptiCare Health Systems, Inc. (OPT)

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

March, 2002

5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

☐ Director

☐ 10% Owner

☒ Officer (give title below)

☐ Other (specify below)

Vice President and General Counsel

7. Individual or Joint/Group Filing (Check Applicable Law)

☒ Form filed by One Reporting Person

☐ Form filed by More than One Reporting Person

TABLE I -- NON DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	3. Trans- action Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D)			5. Amount of Securities Beneficial Owned at End of Month (Instr. 3 and 4)
		Code	V	Amount	(A) or (D)	Price	

Common Stock, \$.001 par value per share	3/19/02	A	V	25,000	A		25,000
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FORM 4 (continued)

TABLE II -- Derivative Securities Acquired, Disposed of, or Beneficial
(e.g. puts, calls, warrants, options, convertible securities)

[illegible]

(2) Exercisable in four equal annual installments beginning on March 19, 2003.

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

April 9, 2002

Date _____

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