### Edgar Filing: TRINITY INDUSTRIES INC - Form 4

TRINITY INDUSTRIES INC Form 4 January 17, 2003

# FORM 4

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Filed By

Romeo and Dye's

Section 16 Filer www.section16.net

OMB APPROVAL

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Ad	2. Issuer Name <b>and</b> Ticker or Trading Symbol <b>Trinity Industries, Inc.; NYSE; TRN</b>							6. Relationship of Reporting Person(s)					
Lee John M										Issuer (Check	all applicable)		
(Last)						4. Statement for Month/Day/Year		_ Director 10% Owner					
2525 Stemmons Freeway			if an entity (voluntary)					15/03		X Officer (give title below) Other (specify below)			
									<u>Vi</u> Pr	<u>ce</u> esident			
	(Street)							5. If Amendment, Date of Original		7. Individual or Joint/Group Filing (Check Applicable Line)			
Dallas, Texas 7						(Month/Day/Year)		X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Т	able	I Non-D	Derivat	ive Se	curities Acquired, Di	spose	d of, or Benef	icially Owned		
1. Title of Security (Instr. 3)	2. Trans-	2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Trans action C (Instr. 8) Code	ode	4. Securitie (A) or Disp (Instr. 3, 4 Amount	posed o		5. Amount of Securities Beneficially Owned Follow- ing Reported Transactions(s) (Instr. 3 & 4)		Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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#### FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(c.g., puts, cans, warrants, options, convertible securities)												
1. Title of	2. Conver-	3. Trans-	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nature	
Derivative	sion or	action	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indirect	
Security	Exercise	Date	Execution	action	of	Date	Underlying	Security	Securities	ship	Beneficial	
	Price of		Date,	Code	Derivati	(Melonth/Day/	Securities	(Instr. 5)	Beneficially	Form	Ownership	
(Instr. 3)	Derivative	(Month/	if any		Securiti	(Sear)	(Instr. 3 & 4)		Owned	of Deriv-	(Instr. 4)	
	Security	Day/	(Month/	(Instr.	Acquire	d			Following	ative		
		Year)	Day/	8)	(A) or				Reported	Security:		
			Year)		Dispose	d			Transaction(s)	Direct		
					of (D)				(Instr. 4)	(D)		
							1					

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			(Instr. 3, 4 & 5)									or Indirect (I) (Instr. 4)	
			Code	V (A		Exer-cisable	Expira- tion Date		Amount or Number of Shares				
Trinity Stock Units	1 - for - 1	01/15/03	A	2	4	(1)		Common Stock	4	\$18.82	1,183	Ι	by Trust

Explanation of Responses:

(1) The Trinity Stock Units were accrued under the Trinity Industries, Inc. Supplemental Profit Sharing Plan and will be settled upon the reporting person's retirement or other termination of service or earlier for in service distributions under limited circumstances as provided by the plan. Stock Units convert at a ratio of 1 to 1.

By: /s/ <u>Michael G. Fortado</u> For: John M. Lee \*\*Signature of Reporting Person 01/17/03 Date

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

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