

Golden Minerals Co  
Form 4  
January 20, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Sentient Global Resources Fund IV, L.P.

(Last) (First) (Middle)

LANDMARK SQUARE, 1ST FL.,  
64 EARTH CLOSE, WEST BAY  
BEACH SOUTH; PO BOX 10795

(Street)

GEORGE TOWN, GRAND  
CAYMAN, E9 KY1-1107

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Golden Minerals Co [AUMN]

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/19/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_X\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				(A) or (D)	10,283,944	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

## Edgar Filing: Golden Minerals Co - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)
Warrants	\$ 5.6 <sup>(1)</sup>	09/19/2012		J	682,897	03/20/2013 09/19/2017	Common Stock
Warrants	\$ 5.6 <sup>(1)</sup>	09/10/2014		J	119,352 <sup>(3)</sup>	09/10/2014 09/19/2017	Common Stock
Warrants	\$ 1 <sup>(1)</sup>	09/10/2014		J	2,900,000	03/11/2015 09/10/2019	Common Stock
Warrants	\$ 5.6 <sup>(1)</sup>	01/19/2016		J	225,441 <sup>(3)</sup>	01/19/2016 09/19/2017	Common Stock
Convertible Note	\$ 0.29	01/19/2016		P	17,241,379 <sup>(4)</sup>	01/19/2016 10/27/2016	Common Stock

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director    10% Owner    Officer    Other

Sentient Global Resources Fund IV, L.P.  
 LANDMARK SQUARE, 1ST FL., 64 EARTH CLOSE  
 WEST BAY BEACH SOUTH; PO BOX 10795  
 GEORGE TOWN, GRAND CAYMAN, E9 KY1-1107

X

## Signatures

/s/ Andrew Pullar

01/19/2016

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents re-pricing of Warrants due to anti-dilution adjustment.

(2) N/A

(3) Represents additional Warrants issued pursuant to anti-dilution adjustment.

(4) Assumes conversion price of \$0.29 per share. Conversion price subject to downward adjustment if 15 day VWAP prior to conversion date is lower. Does not include conversion of accrued and unpaid interest at the applicable conversion price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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