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LUBRIZOL CORP

Form 5

February 09, 2001

ry 09, 2001								
UNITED STATES SECURITIE	ES AND EXCHA	NGE COI	MMISSION					
WASHINGTON, D.C. 20549								
FORM 5								
ANNUAL STATEMENT OF CHA								
Form 4 or Form 5 obliga	2			one 1/h	١			
() Form 3 Holdings Rep		JIICIIIU	e. See instructi	.0115 1 (D				
() Form 4 Transactions								
1. Name and Address of		erson						
Bauer, Joseph W.								
29400 Lakeland Boule								
Wickliffe, OH 44092								
2. Issuer Name and Tick		ng Syml	ool					
The Lubrizol Corpora	ition							
LZ 3. IRS or Social Securi	ity Number o	f Reno	rting Person (Vo	luntary	١			
		r kepo.	cting reison (vo	oruncary.)			
4. Statement for Month, 12/31/00	'Year							
5. If Amendment, Date of	of Original	(Month	/Year)					
6. Relationship of Repo								
(specify below)								
Vice President and (
7. Individual or Joint		_		e Line)				
(X) Form filed by Or() Form filed by Mo								
() FOLM IIIed by FR	Te chan one	Kepor	cing reison					
					D 61		2	
Table I Non-Derivat	:ive Securit:	les Ac	quired, Disposed	oi, or	Beneil	cially (Jwnea	
1. Title of Security	12.	13.	4.Securities A	cquired	(A)	!	5.Amount of	
	Trans	Transaction or Disposed of (D)						
	Date	Code	e				Beneficially	
	<u> </u>			A.		. !	Owned at	
			Amount	D	P	rice	End of Year	
Common Shares	(1)	A	75.8591	ΙA	(1)	1:	1,102.7648 (2)	
Common Shares	 I						 1,881.2863 (3)	
	,	 	 				1,001.2003 (3) 	
Table II Derivative	Securitite:	s Acqu	ired, Disposed o	of, or Be	enefici	ally Own	ned	
1.Title of Derivative	2.Con :	3. 1	4. 5.Number of	: De 16 1	Dato E.	erl7 Ti	tle and Amount	8.E
Security			tion rivative S				Underlying	lof
			Code rities Acc				curities	vat
	cise Pr		red(A) or					Sec
	ice of	i	posed of (D		y/Year)			rit
	Deriva	1			- te Exp			1
	tive	1		A/ Exe		on T	itle and Number	
	Secu	- 1			sa- Dat	e 0:	f Shares	1
	rity	1	Amount	ble	e	1		

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Employee Stock Option ight to Buy)		•	3/27/ 3/27/ Common Share 8,250 2001 2010 s	
			3/27/ 3/27/ Common Share 4,125 2002 2010 s	
			3/27/ 3/27/ Common Share 4,125 2003 2010 s	

Explanation of Responses:

- (1) Acquired on various dates between January 1 and December 31, 2000, pursuant to Lubrizol's deferred compensation plans, at prices ranging from \$21.6250 to \$27.9375 per share.
- (2) Includes Common Shares that previously were reported on Table I as Phantom Stock Units, which are payable in Common Shares on a 1-for-1 basis.
- (3) Reflects end-of-period holdings resulting from acquisitions pursuant to a qualified plan, which are exempt under Section 16b-3(c).
 SIGNATURE OF REPORTING PERSON
 /s/ Joseph W. Bauer by Leslie M. Reynolds
 DATE
 February 8, 2001