Edgar Filing: TRANSACT TECHNOLOGIES INC - Form 4

TRANSACT TECHNOLOGIES INC

Form 4 May 15, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

0.5

OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

Estimated average

See Instruction

1(b).

(Print or Type Responses)

	•						
1. Name and Address of Reporting Person * SCHWARZ THOMAS R			2. Issuer Name and Symbol	Ç	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
			TRANSACT TECHNOLOGIES INC [TACT]				
(Last)	(First)	(Middle)	3. Date of Earliest T (Month/Day/Year)	ransaction	X Director Officer (give title	Other (specify	
65 GROVE STREET			05/12/2017		below) below)		
(Street)			4. If Amendment, Da	ate Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Yea	r)	Applicable Line) _X_ Form filed by One Reporting Person		
WELLESL	EY, MA 02482	2			Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I - Non-I	Derivative Securities Ac	equired, Disposed of, or	Beneficially Owned	
1.Title of	2. Transaction Da	ate 2A. Deeme	ed 3.	4. Securities Acquired		7. Nature of	

` •	,	` '' lat	ne i - Non-	Derivativ	e Seci	uriues A	cquirea, Dispos	ea oi, or Beno	encially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned	6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	
Common Stock	05/12/2017		A	2,359	A	\$ 6.38 (1)	52,359	D	
Common Stock							2,000	I	Spouse
Common Stock							3,000	I	Daughter & Granddaughter

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

Edgar Filing: TRANSACT TECHNOLOGIES INC - Form 4

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 6.38 (1)	05/12/2017		M		10,000	05/15/2008	05/15/2017	Common Stock	2,359 (1)

Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
SCHWARZ THOMAS R 65 GROVE STREET WELLESLEY, MA 02482	X						

Signatures

Steven A. DeMartino, Attorney-in-fact 05/15/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Mr. Schwarz relinquished 7,641 shares of common stock of the 10,000 stock options that were exercised in a net settlement cashless exercise on May 12, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2