FOXBY CORP. Form 3/A July 21, 2011

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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**SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement FOXBY CORP. [FXBY]  **WINMILL THOMAS** (Month/Day/Year) 07/15/2002 BASSETT (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) P.O. BOX 4 07/17/2002 (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_\_ Director 10% Owner \_X\_\_ Other \_X\_ Form filed by One Reporting \_X\_\_ Officer (give title below) (specify below) WALPOLE, NHÂ 03608 Form filed by More than One President / See "Explanation of Reporting Person Responses" (City) Table I - Non-Derivative Securities Beneficially Owned (State) (Zip) 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Ownership (Instr. 4) Beneficially Owned Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 200 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 4. 6. Nature of Indirect Securities Underlying Beneficial Ownership (Instr. 4) **Expiration Date** Conversion Ownership (Month/Day/Year) **Derivative Security** Form of or Exercise (Instr. 5) Derivative (Instr. 4) Price of Derivative Security: Title

#### Edgar Filing: FOXBY CORP. - Form 3/A

Date Expiration Exercisable Date

Amount or Security Number of Shares Direct (D) or Indirect (I) (Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

WINMILL THOMAS BASSETT

P.O. BOX 4 X President See "Explanation of Responses" WALPOLE, NHÂ 03608

### **Signatures**

Thomas B. Winmill 07/21/2011

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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#### **Remarks:**

The Reporting Person is a director and officer of the Issuer's registered investment adviser and its Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2