AMYRIS, INC. Form 4 August 08, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or

obligations

Form 5

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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response... 0.5

10% Owner

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

(Middle)

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* DOERR L JOHN

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

AMYRIS, INC. [AMRS]

3. Date of Earliest Transaction

(Month/Day/Year)

08/06/2014

(Check all applicable)

C/O AMYRIS, INC., 5885 HOLLIS STREET, SUITE 100

(Street)

(First)

4. If Amendment, Date Original

Filed(Month/Day/Year)

\_ Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

\_X\_\_ Director

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

EMERYVILLE, CA 94608

| (City)                               | (State)                                 | Zip) Table  | e I - Non-D   | erivative    | Secur            | ities Ac  | quired, Disposed                               | of, or Beneficia  | ally Owned  |
|--------------------------------------|---|---|---|--------------|------------------|---|--|---|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) |              | <b>)</b> )       | Securities Form: Direct Beneficially (D) or Owned Indirect (I) Following (Instr. 4) |  | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |
|                                      |   |   | Code V  | Amount       | (A)<br>or<br>(D) | Price   | Reported<br>Transaction(s)<br>(Instr. 3 and 4) |   |   |
| Common<br>Stock                      | 08/06/2014                              |   | A   | 3,000<br>(1) | A                | \$ 0  | 12,000   | D   |   |
| Common<br>Stock                      |   |   |   |              |                  |   | 3,221,625                                      | I   | by Foris<br>Ventures,<br>LLC (2)                  |
| Common<br>Stock                      |   |   |   |              |                  |   | 144,707  | I   | by Clarus<br>LLC (3)                              |
| Common<br>Stock                      |   |   |   |              |                  |   | 3,724,558                                      | I   | by Kleiner<br>Perkins<br>Caulfield &<br>Byers (4) |

### Edgar Filing: AMYRIS, INC. - Form 4

| Common<br>Stock   | 67,952 I  | by KPCB<br>XII<br>Founders<br>Fund, LLC                        |  |  |  |  |  |
|---|---|--|--|--|--|--|--|
| Common<br>Stock   | 8,503 I   | by The<br>Vallejo<br>Ventures<br>Trust<br>U/T/A<br>2/12/96 (6) |  |  |  |  |  |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. |   |  |  |  |  |  |  |
|   | Persons who respond to the collection of information contained in this form are not required to respond unless the form | SEC 1474<br>(9-02)   |  |  |  |  |  |

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

number.

displays a currently valid OMB control

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  |
|---|---|---|---|--|---|--|--------------------|---|--|
|   |   |   |   | Code V                                 | and 5) (A) (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Stock Option (Right to Buy)                         | \$ 3.86   | 08/06/2014                              |   | A                                      | 6,000   | <u>(7)</u>   | 08/05/2024         | Common<br>Stock   | 6,000                                  |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
|   | Director      | 10% Owner | Officer | Other |  |  |
| DOERR L JOHN<br>C/O AMYRIS, INC.<br>5885 HOLLIS STREET, SUITE 100<br>EMERYVILLE, CA 94608 | X             |           |         |       |  |  |

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## **Signatures**

/s/ John Doerr by Nicholas Khadder, Attorney-in-Fact

08/08/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a restricted stock unit award that vests as to 100% of the units subject to the award on August 7, 2015.
- (2) The Reporting Person indirectly holds all of the membership interests in Foris Ventures, LLC. The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein.
- The Reporting Person is the manager of Clarus, LLC. The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein. The shares are held for convenience in the name of "KPCB Holdings, Inc., as nominee" for the account of entities affiliated with Kleiner Perkins Caufield & Byers and others. KPCB Holdings, Inc. has no voting, dispositive or pecuniary interest in any such shares.
  - The Managing Member of Kleiner Perkins Caufield & Byers XII, LLC is KPCB XII Associates, LLC, of which the Reporting Person is the managing member. The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary
- (4) interest therein. The shares are held for convenience in the name of "KPCB Holdings, Inc., as nominee" for the account of entities affiliated with Kleiner Perkins Caufield & Byers and others. KPCB Holdings, Inc. has no voting, dispositive or pecuniary interest in any such shares.
- The Managing Member of KPCB XII Founders Fund, LLC is KPCB XII Associates, LLC, of which the Reporting Person is the managing member. The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein. The shares are held for convenience in the name of "KPCB Holdings, Inc., as nominee" for the account of entities affiliated with Kleiner Perkins Caufield & Byers and others. KPCB Holdings, Inc. has no voting, dispositive or pecuniary interest in any such shares.
- (6) The Reporting Person is a Trustee of The Vallejo Ventures Trust U/T/A 2/12/96.
- (7) Stock option vests as to 100% of the total number of shares subject to the option on August 7, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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