Edgar Filing: McDowell Caryn Gordon - Form 4

McDowell Caryn Gordon Form 4 November 06, 2017 FORM 4

if no longer

subject to

Section 16.

Form 4 or

Form 5

1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549 Number: Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> McDowell Caryn Gordon			2. Issuer Name and Ticker or Trading Symbol CYTOKINETICS INC [CYTK]	5. Relationship of Reporting Person(s) to Issuer		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check all applicable)		
280 EAST GRAND AVENUE			(Month/Day/Year)	Director 10% Owner		
			11/02/2017	X Officer (give title Other (specify below) below) GC & Chief Compliance Officer		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person		
SOUTH SAN	~			Form filed by More than One Reporting Person		

FRANCISCO, CA 94080

(City)	(State) (2	Zip) Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	11/02/2017		M <u>(1)</u>	77	А	\$ 6.67	17,982	D	
Common Stock	11/02/2017		S <u>(2)</u>	2,460	D	\$ 12.9	15,522	D	
Common Stock	11/02/2017		M <u>(1)</u>	653	А	\$ 6.67	16,175	D	
Common Stock	11/02/2017		S <u>(2)</u>	1,800	D	\$ 13	14,375	D	
Common Stock	11/02/2017		M <u>(1)</u>	625	А	\$ 6.37	15,000	D	

OMB APPROVAL

Estimated average

burden hours per

Expires:

response...

3235-0287

January 31,

2005

0.5

Edgar Filing: McDowell Caryn Gordon - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shares
Incentive Stock Option (right to buy)	\$ 6.37	11/02/2017		М	625	05/29/2016	05/29/2025	Common Stock	625
Incentive Stock Option (right to buy)	\$ 6.67	11/02/2017		М	653	03/23/2016	02/23/2026	Common Stock	653
Non-Qualified Stock Option (right to buy)	\$ 6.67	11/02/2017		М	77	03/23/2016	02/23/2026	Common Stock	77

Reporting Owners

Reporting Owner Name / Address		Relationships						
			10% Owner	Officer	Other			
McDowell Caryn Gordon 280 EAST GRAND AVENUE SOUTH SAN FRANCISCO, CA 94080				GC & Chief Compliance Officer				
Signatures								
Caryn McDowell	11/06/2017							
<u>**</u> Signature of	Date							

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The exercise reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 13, 2017.
- (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 13, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.