#### HOOVER R DAVID

Form 4 April 02, 2012

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** 

Washington, D.C. 20549

3235-0287 Number: January 31, Expires: 2005

**OMB APPROVAL** 

subject to Section 16. Form 4 or

if no longer

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **HOOVER R DAVID** 

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

BALL CORP [BLL]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director

10% Owner Officer (give title \_\_X\_ Other (specify

BALL CORPORATION, 10 LONGS 04/02/2012

(Street)

below)

below)

CHAIRMAN OF THE BOARD

PEAK DR.

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

BROOMFIELD, CO 80021-2510

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	ransactioner Disposed of (D) ode (Instr. 3, 4 and 5) nstr. 8)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	04/02/2012		$S_{(1)}^{(1)}$	Amount 100	(D)	Price \$ 42.58	462,948.2119	D		
Common Stock	04/02/2012		S <u>(1)</u>	300	D	\$ 42.6	462,648.2119	D		
Common Stock	04/02/2012		S(1)	100	D	\$ 42.64	462,548.2119	D		
Common Stock	04/02/2012		S(1)	100	D	\$ 42.66	462,448.2119	D		
Common Stock	04/02/2012		S(1)	100	D	\$ 42.67	462,348.2119	D		

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Common Stock	04/02/2012	S <u>(1)</u>	500	D	\$ 42.69	461,848.2119	D
Common Stock	04/02/2012	S <u>(1)</u>	200	D	\$ 42.72	461,648.2119	D
Common Stock	04/02/2012	S <u>(1)</u>	200	D	\$ 42.73	461,448.2119	D
Common Stock	04/02/2012	S <u>(1)</u>	1,000	D	\$ 42.74	460,448.2119	D
Common Stock	04/02/2012	S(1)	400	D	\$ 42.75	460,048.2119	D
Common Stock	04/02/2012	S <u>(1)</u>	400	D	\$ 42.76	459,648.2119	D
Common Stock	04/02/2012	S <u>(1)</u>	600	D	\$ 42.77	459,048.2119	D
Common Stock	04/02/2012	S <u>(1)</u>	400	D	\$ 42.78	458,648.2119	D
Common Stock	04/02/2012	S <u>(1)</u>	900	D	\$ 42.79	457,748.2119	D
Common Stock	04/02/2012	S <u>(1)</u>	2,000	D	\$ 42.8	455,748.2119	D
Common Stock	04/02/2012	S(1)	900	D	\$ 42.81	454,848.2119	D
Common Stock	04/02/2012	S(1)	1,997	D	\$ 42.82	452,851.2119	D
Common Stock	04/02/2012	S(1)	1,300	D	\$ 42.83	451,551.2119	D
Common Stock	04/02/2012	S(1)	403	D	\$ 42.84	451,148.2119	D
Common Stock	04/02/2012	S(1)	1,200	D	\$ 42.85	449,948.2119	D
Common Stock	04/02/2012	S(1)	700	D	\$ 42.86	449,248.2119	D
Common Stock	04/02/2012	S(1)	700	D	\$ 42.87	448,548.2119	D
Common Stock	04/02/2012	S <u>(1)</u>	400	D	\$ 42.88	448,148.2119	D
Common Stock	04/02/2012	S(1)	100	D	\$ 42.89	448,048.2119	D
Common Stock	04/02/2012	M(2)	15,000	A	\$ 11.8725	463,048.2119	D
						7,748.408	I

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Common Stock			401(k) Plan (3)
Common Stock	74,708	I	RDH Trust
Common Stock	366,002	I	SAH Trust (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	TransactionDerivative Code Securities		Expiration Date (Month/Day/Year) A)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (E	<b>D</b> )	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 11.8725	04/02/2012		M(2)	15,0	000	<u>(5)</u>	04/23/2012	Common Stock	15,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b></b>	Director	10% Owner	Officer	Other		
HOOVER R DAVID BALL CORPORATION 10 LONGS PEAK DR. BROOMFIELD, CO 80021-2510	X			CHAIRMAN OF THE BOARD		
Cianaturas						

### **Signatures**

/s/ Robert W. McClelland, attorney-in-fact for Mr.
Hoover 04/02/2012

\*\*Signature of Reporting Person Date

Reporting Owners 3

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sale of stock in accordance with reporting person's pre-arranged 10b5-1 plan adopted by the reporting person on December 14, 2011.
- (2) Exercise of stock options in accordance with reporting person's pre-arranged 10b5-1 plan adopted by the reporting person on December 14, 2011.
- (3) Total number of 401(k) Plan shares previously acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- (4) The reporting person expressly disclaims beneficial ownership of the securities in the Suzanne A. Hoover Trust.
- (5) Shares exercisable beginning one year after grant in 25% increments and thereafter annually upon the anniversary of the date of the grant of the stock option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.