### JOHNSON CONTROLS INC

Form 4

November 21, 2013

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

10% Owner

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading Bolzenius Beda Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First)

(Street)

(Middle)

3. Date of Earliest Transaction

JOHNSON CONTROLS INC [JCI]

(Month/Day/Year)

11/19/2013

(Check all applicable)

\_X\_\_ Officer (give title Other (specify below) below)

5757 N. GREEN BAY AVENUE, P.O. BOX 591

> 4. If Amendment, Date Original Filed(Month/Day/Year)

> > 3.

VP & President, AE - Seating 6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

MILWAUKEE, WI 53201-0591

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Indirect (I) Ownership Owned Following (Instr. 4) (Instr. 4) Reported

D

(A) or

Transaction(s) (Instr. 3 and 4) Amount (D) Price

Common Stock

11/19/2013

A 14,843 Α \$0 133,765.746

4. Securities Acquired

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of iorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying So (Instr. 3 and 4
				Code V	/ (A) (D	Date Exercisable	Expiration Date	Title
Employee Stock Option (Right to Buy)	\$ 48.37	11/19/2013		A	48,843	11/19/2015 <u>(1)</u>	11/19/2023	Common Stock
Employee Stock Option (Right to Buy)	\$ 40.21					10/01/2009(1)	10/01/2017(1)	Common Stock
Employee Stock Option (Right to Buy)	\$ 28.79					10/01/2010(1)	10/01/2018(1)	Common Stock
Employee Stock Option (Right to Buy)	\$ 24.87					10/01/2011(1)	10/01/2019	Common Stock
Employee Stock Option (Right to Buy)	\$ 30.54					10/01/2012(1)	10/01/2020	Common Stock
Employee Stock Option (Right to Buy)	\$ 28.54					10/07/2013(1)	10/07/2021(1)	Common Stock
Employee Stock Option (Right to Buy)	\$ 27.85					10/05/2014(1)	10/05/2022	Common Stock
Stock Appreciation Rights	\$ 23.965					10/02/2008(1)	10/02/2016(1)	Common Stock

# **Reporting Owners**

Reporting Owner Name / Address					
	Director	10% Owner	Officer	Other	

Reporting Owners 2

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Bolzenius Beda 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201-0591

VP &
President, AE
- Seating

# **Signatures**

/s/ Angela M. Blair, Attorney-in-Fact for Beda Bolzenius

11/21/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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