

BALL CORP
Form 4/A
March 04, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HOOVER R DAVID

(Last) (First) (Middle)

BALL CORPORATION, 10 LONGS
PEAK DR.

(Street)

BROOMFIELD, CO 80021-2510

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
BALL CORP [BLL]

3. Date of Earliest Transaction
(Month/Day/Year)
02/06/2015

4. If Amendment, Date Original Filed(Month/Day/Year)
02/10/2015

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | | (A) or (D) Code V Amount (D) Price | | | |
| Common Stock | 02/06/2015 | | J ⁽¹⁾ | 42,777 D \$ 68.17 | 150,812.3267 | D | |
| Common Stock | 02/06/2015 | | J ⁽¹⁾ | 42,777 A \$ 68.17 | 287,843 | I | RDH Trust ⁽²⁾ |
| Common Stock | 02/06/2015 | | F ⁽³⁾ | 30,085 D \$ 68.17 | 120,727.3267 | D | |
| Common Stock | | | | | 7,946.103 | I | 401(k) Plan ⁽⁴⁾ |
| Common Stock | | | | | 77,250 | I | By GRAT ⁽⁵⁾ |
| | | | | | 32,605 | I | |

Common
Stock

SAH
Trust ⁽⁶⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nature of Derivative Security Beneficially Owned (Instr. 3) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--------------------------------|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |

HOOVER R DAVID
BALL CORPORATION
10 LONGS PEAK DR.
BROOMFIELD, CO 80021-2510

X

Signatures

/s/ Robert W. McClelland, attorney-in-fact for Mr. Hoover

03/04/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Distribution of shares from the Ball Corporation 2000 Deferred Compensation Plan due to retirement as an officer of the Company.
 - (2) This amendment is filed to correct the number of shares reflected in Column 7 (Nature of Indirect Beneficial Ownership) of the RDH Trust to 287,843 shares which was previously erroneously reported due to an administrative error.

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- (3) Shares withheld for payment of the tax obligation upon the distribution shares from the Ball Corporation 2000 Deferred Compensation Company Stock Plan.
- (4) Total number of 401(k) Plan shares include shares previously acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- (5) This amendment is filed to correct the number of shares reflected in Column 7 (Nature of Indirect Beneficial Ownership) of the By GRAT to 77,250 shares, which was previously erroneously reported due to an administrative error.
- (6) The reporting person expressly disclaims beneficial ownership of the securities in the Suzanne A. Hoover Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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