#### Edgar Filing: LAKELAND FINANCIAL CORP - Form 4

#### LAKELAND FINANCIAL CORP

Form 4 January 04, 2016

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

**OMB APPROVAL** 

January 31, 2005

0.5

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obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading O'Neill Lisa M Issuer Symbol LAKELAND FINANCIAL CORP (Check all applicable) [LKFN] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) below) **264 EMS C29 LANE** 01/01/2016 EVP & CFO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting **WARSAW, IN 46582** (City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

|            |                     |                    |            |            |           | • •              |              | •            |
|------------|---------------------|--------------------|------------|------------|-----------|------------------|--------------|--------------|
| 1.Title of | 2. Transaction Date | 2A. Deemed         | 3.         | 4. Securit | ties      | 5. Amount of     | 6. Ownership | 7. Nature of |
| Security   | (Month/Day/Year)    | Execution Date, if | Transactio | nAcquired  | (A) or    | Securities       | Form: Direct | Indirect     |
| (Instr. 3) |                     | any                | Code       | Disposed   | of (D)    | Beneficially     | (D) or       | Beneficial   |
|            |                     | (Month/Day/Year)   | (Instr. 8) | (Instr. 3, | 4 and 5)  | Owned            | Indirect (I) | Ownership    |
|            |                     |                    |            |            |           | Following        | (Instr. 4)   | (Instr. 4)   |
|            |                     |                    |            |            | ( )       | Reported         |              |              |
|            |                     |                    |            |            | (A)       | Transaction(s)   |              |              |
|            |                     |                    | C = V      | A          | or        | (Instr. 3 and 4) |              |              |
| ~          |                     |                    | Code V     | Amount     | (D) Price |                  |              |              |
| Common     |                     |                    |            |            |           | 3,000            | D            |              |
| C41-       |                     |                    |            |            |           | 5,000            | D            |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and A Underlying S (Instr. 3 and | Securities                            |
|---|---|--------------------------------------|---|--|---|--|-----------------|---|---------------------------------------|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable                                      | Expiration Date | Title                                     | Amoun<br>or<br>Number<br>of<br>Shares |
| Restricted<br>Stock<br>Units (1)                    | \$ 0 (2)  | 01/01/2016                           |   | A                                      | 4,000   | 02/01/2019   | 02/01/2019(3)   | Common<br>Stock                           | 4,000                                 |
| Restricted<br>Stock<br>Units (1)                    | \$ 0 (2)  |                                      |   |  |   | 02/01/2017   | 02/01/2017(3)   | Common<br>Stock                           | 4,000                                 |
| Restricted<br>Stock<br>Units                        | \$ 0 (2)  |                                      |   |  |   | 04/16/2017   | 04/16/2017(3)   | Common<br>Stock                           | 3,000                                 |
| Restricted<br>Stock<br>Units (1)                    | \$ 0 (2)  |                                      |   |  |   | 02/01/2018   | 02/01/2018(3)   | Common<br>Stock                           | 4,000                                 |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |           |       |  |  |  |
|--------------------------------|---------------|-----------|-----------|-------|--|--|--|
| <b>F-</b>                      | Director      | 10% Owner | Officer   | Other |  |  |  |
| O'Neill Lisa M                 |               |           |           |       |  |  |  |
| 264 EMS C29 LANE               |               |           | EVP & CFO |       |  |  |  |
| WARSAW, IN 46582               |               |           |           |       |  |  |  |

## **Signatures**

Teresa A. Bartman, Attorney-in-Fact 01/04/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Restricted Stock Units are subject to forfeiture based on corporate performance criteria.
- (2) Each Restricted Stock Unit exercises into 1 share of Common Stock.
- (3) Restricted Stock Unit awards are a conditional promise to transfer a share at a specific futurue date and do not have an expiration date. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Reporting Owners 2

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