#### WELLS FARGO & COMPANY/MN

Form 4 March 03, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

See Instruction 1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Dean Lloyd H

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

WELLS FARGO & COMPANY/MN [WFC]

(Check all applicable)

(First)

(Street)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director Officer (give title

10% Owner Other (specify

**DIGNITY HEALTH. 185 BERRY** STREET, SUITE 300

4. If Amendment, Date Original

03/01/2016

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

SAN FRANCISCO, CA 94107

(City)	(State)	(Zip) Tabl	e I - Non-D	Derivative	Secur	rities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	, , ,		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, \$1 2/3 Par Value	03/01/2016		Code V M	Amount 6,658	` '	Price \$ 32.55	· · · · · · · · · · · · · · · · · · ·	D	
Common Stock, \$1 2/3 Par Value	03/01/2016		F	4,448	D	\$ 48.72	37,624.899	D	
Common Stock, \$1 2/3 Par	03/01/2016		M	4,183 (1)	A	\$ 48.72	41,807.899 (2)	D	

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Value

Common Stock, \$1 2/3 Par Value	1,122	I	Through Family Trust
Common Stock, \$1 2/3 Par Value	264	I	Through Ira

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
	Security			Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amo Num Share
Director Stock Purchase Option	\$ 32.55	03/01/2016		M	6,658	10/25/2006	04/25/2016	Common Stock, \$1 2/3 Par Value	ć
Phantom Stock Units	(3)	03/01/2016		M	4,181.5937	<u>(4)</u>	<u>(4)</u>	Common Stock, \$1 2/3 Par Value	4,18

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		
Dean Lloyd H DIGNITY HEALTH 185 BERRY STREET, SUITE 300 SAN FRANCISCO, CA 94107	X					

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## **Signatures**

Lloyd H. Dean, by Anthony R. Augliera, as Attorney-in-Fact

03/03/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Fractional number of phantom stock units rounded up and paid out in whole number of shares under the terms of the applicable director deferral plan.
- (2) Includes the following shares acquired through Wells Fargo & Company's (the "Company") dividend reinvestment plan: 22.434 shares on 3/1/2016.
- (3) Each phantom stock unit represents the right to receive one share of Company common stock.
- (4) Deferred compensation shares payable in installments based upon director's election.
- (5) Price of derivative security is \$48.72.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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