Edgar Filing: CARNIVAL CORP - Form 4

CADNILLAL CODI

Form 4											
April 18, 2010 FORM Check this if no longe subject to Section 16 Form 4 or Form 5 obligations may contin <i>See</i> Instruct 1(b).	4 UNITED	MENT O ursuant to the	Was F CHAN Section 16	hington, GES IN I SECURI 6(a) of the ility Hold	D.C. 205 BENEFI ITIES e Securiti ing Com	5 49 CIAI es Ex pany	L OW kchang Act o	COMMISSION TNERSHIP OF ge Act of 1934, if 1935 or Sectic 40	OMB Number: Expires: Estimated a burden hou response	irs per	
(Print or Type Ro	esponses)										
1. Name and Ad BAND SIR J	ldress of Reporting ONATHON	g Person <u>*</u>	Symbol	Name and VAL COF			g	5. Relationship o Issuer			
(Last) 33 AUCKLA	(First)	(Middle) AST	3. Date of (Month/Da 04/14/20	-	ansaction			X_ Director Officer (give below)		e) 9 Owner er (specify	
пумосто	(Street)			ndment, Dat th/Day/Year)				6. Individual or J Applicable Line) _X_ Form filed by Form filed by J		erson	
(City)	(State)	(Zip)			• • •			Person			
(City) 1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea	ate 2A. Dee r) Execution any		3. Transactic Code (Instr. 8) Code V	4. Securition Acquired Disposed (Instr. 3, Amount 3,102	ties (A) o of (D 4 and (A) or (D)	or 1) 5) Price	quired, Disposed of 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	-	
Stock	04/14/2016			A <u>(1)</u>	$\frac{(2)}{(2)}$	А	\$0	23,898.937 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.		6. Date Exer	cisable and	7. Tit	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	ionNum	ber	Expiration D	ate	Amou	int of	Derivative	Deriv
Security	or Exercise		any	Code	of		(Month/Day/	Year)	Under	rlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Deriv	vative	e		Secur	ities	(Instr. 5)	Bene
	Derivative		-		Secu	rities			(Instr.	. 3 and 4)		Owne
	Security				Acqu	iired						Follo
	2				(A)							Repo
					Disp	osed						Trans
					of (D))						(Instr
					(Inst	·						[×]
					4, an	· · ·						
					,	,	_					
				Code V	(A)	(D)	Date	Expiration	Title	Amount		
							Exercisable	Date		or		
										Number		
										of		
										Shares		

Reporting Owners

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
BAND SIR JONATHON 33 AUCKLAND ROAD EAST HAMPSHIRE, X0	Х			
Signatures				
/s/ Sir Jonathon 04/ Band	/18/2016			
<u>**</u> Signature of Reporting Person	Date			

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Issued pursuant to the Carnival Corporation 2011 Stock Plan. The restriction on the shares lapses on the third anniversary of the grant date.

The Board of Directors approved a value of \$160,000 to be awarded to the reporting person in the form of restricted shares. The number (2) of shares was determined by dividing the closing price of a share of Carnival Corporation common stock on April 14, 2016 and dividing

- it into \$160,000, then rounding down to the nearest whole share.
- (3) Includes shares acquired pursuant to the dividend reinvestment feature of the reporting person's brokerage account.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.