**AMGEN INC** Form 3 February 06, 2017

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

(Last)

HOLLEY CHARLES M

(First)

(Middle)

Statement

(Month/Day/Year)

02/01/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

AMGEN INC [AMGN]

4. Relationship of Reporting Person(s) to Issuer

\_X\_ Director

Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

ONE AMGEN CETNER DRIVE

(Street)

(Check all applicable)

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One

Reporting Person

**THOUSAND** OAKS, CAÂ 91320

(City)

1. Title of Security

(Instr. 4)

(State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Ι Common Stock 30 **Holley Family Trust** 

Reminder: Report on a separate line for each class of securities beneficially

SEC 1473 (7-02)

owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(Instr. 4)

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying **Derivative Security** 

4. 5. Conversion or Exercise Price of

6. Nature of Indirect Beneficial Ownership Ownership Form of (Instr. 5) Derivative

Date Exercisable Date

**Expiration Title** 

Amount or Number of

Derivative Security: Direct (D) Security or Indirect

Shares

(I) (Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HOLLEY CHARLES M ONE AMGEN CETNER DRIVE THOUSAND OAKS, CAÂ 91320

 $\hat{A} X \qquad \hat{A} \qquad \hat{A} \qquad \hat{A}$ 

### **Signatures**

/s/ Charles M. Holley

02/06/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2