

Strah Steven E
Form 4
March 05, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Strah Steven E

2. Issuer Name and Ticker or Trading Symbol
FIRSTENERGY CORP [FE]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

76 S. MAIN ST.

03/01/2018

Sr VP & President FE Utilities

(Street)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

AKRON, OH 44308

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock | 03/01/2018 | | M | 18,168.608 (1) A (2) | 29,898.478 (3) | D | |
| Common Stock | 03/01/2018 | | D | 5,821.985 (4) D (4) | 24,076.493 (3) | D | |
| Common Stock | 03/01/2018 | | D | 12,346.623 (1) D \$ 32.4825 | 11,729.87 (3) | D | |
| Common Stock | 03/01/2018 | | M | 36,044 (5) A (2) | 47,773.87 (3) | D | |
| Common Stock | 03/01/2018 | | F | 12,716 (5) D \$ 32.4825 | 35,057.87 (3) | D | |

Common Stock 3,245.56 ⁽⁶⁾ I By Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Underlying Security (Instr. 3 and 4) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|--------------------------------------------------------------------|------------|-----|----------------------------------------------------------|-----------------|---------------------------------------------------|
| | | | | | V | (A) | (D) | Date Exercisable | Expiration Date | |
| RSUC15 | <u>(7)</u> | 03/01/2018 | | M | | 18,168.608 | | <u>(1)</u> | <u>(1)</u> | Common Stock |
| RSUS15 | <u>(7)</u> | 03/01/2018 | | M | | 36,044 | | <u>(5)</u> | <u>(5)</u> | Common Stock |
| Phantom 3/18D | <u>(7)</u> | 03/01/2018 | | A | 5,821.985 | | | <u>(8)</u> | <u>(8)</u> | Common Stock |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|-----------------------------------------------------|---------------|-----------|--------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Strah Steven E 76 S. MAIN ST. AKRON, OH 44308 | | | Sr VP & President FE Utilities | |

Signatures

Jennifer L. Geyer, attorney-in-fact 03/05/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the vesting of the RSUC15 award. The award vested on March 1, 2018. Amounts also include dividend reinvestment.
- (2) 1 for 1. The RSUS15 and RSUC15 awards were previously granted for \$0.00 under the FirstEnergy Corp. 2007 Incentive Plan.

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- (3) Balance includes shares acquired through dividend reinvestment.
- (4) This transaction reflects the deferral of the RSUC15 award. As a result, the reporting person is reporting the disposition of shares of common stock in exchange for an equal number of shares of phantom stock described below.
- (5) Represents the vesting of the RSUS15 award. The award vested on March 1, 2018. The shares coded "F" were automatically withheld to cover tax obligations associated with the payout. Amounts also include dividend reinvestment.

FE's 401(k) Savings Plan includes a unitized fund invested in FE stock, in which the reporting person may invest, and includes dividend reinvestment and company match features. The number of shares reported as indirectly held in the 401(k) Plan in this row is an estimate of the number of shares of FE's common stock held in the unitized stock fund and allocated to the reporting person's account as of January 31, 2018.
- (6) 1 for 1
- (7) This holding reflects phantom stock payable in cash upon retirement or other termination of employment under the FirstEnergy Corp. Amended and Restated Executive Deferred Compensation Plan. Each share of phantom stock is the economic equivalent of one share of common stock.
- (8)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.