

Guttman Tim G.
Form 4
July 03, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Guttman Tim G.

2. Issuer Name **and** Ticker or Trading
Symbol
AMERISOURCEBERGEN CORP
[ABC]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
1300 MORRIS DRIVE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
07/02/2018

____ Director ____ 10% Owner
☒ Officer (give title below) ____ Other (specify below)
Chief Financial Officer

CHESTERBROOK, PA 19087

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	07/02/2018		M	(A) or (D) Amount 5,800 (1) Price \$ 40.21	56,471.876	D	
Common Stock	07/02/2018		S	(A) or (D) Amount 3,500 (1) Price \$ 83.88	52,971.876	D	
Common Stock	07/02/2018		S	(A) or (D) Amount 250 (1) Price \$ 84.54	52,721.876	D	
Common Stock	07/02/2018		S	(A) or (D) Amount 800 (1) Price \$ 84.8	51,921.876	D	
Common Stock	07/02/2018		S	(A) or (D) Amount 1,250 (1) Price \$ 84.84	50,671.876	D	

Edgar Filing: Guttman Tim G. - Form 4

Common Stock	07/02/2018	M	<u>5,567</u> (1)	A	\$ 40.21	56,238.876	D
Common Stock	07/02/2018	S	<u>3,500</u> (1)	D	\$ 83.88	52,738.876	D
Common Stock	07/02/2018	S	250 <u>(1)</u>	D	\$ 84.54	52,488.876	D
Common Stock	07/02/2018	S	567 <u>(1)</u>	D	\$ 84.8	51,921.876	D
Common Stock	07/02/2018	S	<u>1,250</u> (1)	D	\$ 84.84	50,671.876	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-qualified Stock Option (Right to Buy)	\$ 40.21	07/02/2018		M	5,800 ⁽¹⁾	11/14/2013 ⁽²⁾	11/14/2019	Common Stock	5
Non-qualified Stock Option (Right to Buy)	\$ 40.21	07/02/2018		M	5,567 ⁽¹⁾	11/14/2013 ⁽²⁾	11/14/2019	Common Stock	5

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Guttman Tim G. 1300 MORRIS DRIVE	Chief Financial Officer

CHESTERBROOK, PA 19087

Signatures

John G. Chou for Tim G.
Guttman

07/03/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The exercise of stock options and the sale of common stock reflected in this Form 4 were pursuant to a Rule 10b-5 trading plan dated August 18, 2017 governing stock options scheduled to expire on March 1, 2019 and November 14, 2019.
- (2) Exercisable in four equal annual installments on 11/14/13, 11/14/14, 11/14/15 and 11/14/16

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.