

MILLER ALAN B  
Form 4  
May 01, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
MILLER ALAN B

2. Issuer Name and Ticker or Trading Symbol  
UNIVERSAL HEALTH SERVICES INC [UHS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
04/30/2019

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman and CEO

UNIVERSAL HEALTH SERVICES, INC., 367 SOUTH GULPH ROAD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

KING OF PRUSSIA, PA 19406

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(D)	Price	
Class B Common Stock	04/30/2019		J(1)		30,956	D	\$ 0 20,977	AMK 2014 LLC as held by The Abby Miller King 2018 GRAT
Class B Common Stock	04/30/2019		J(1)		30,956	A	\$ 0 62,275	AMK 2014 LLC as held by Alan B. Miller

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Class B Common Stock	04/30/2019	<u>J(1)</u>	30,956	D	\$ 0	20,977	I	MS 2014 LLC as held by The Marni Spencer 2018 GRAT
Class B Common Stock	04/30/2019	<u>J(1)</u>	30,956	A	\$ 0	62,275	I	MS 2014 LLC as held by Alan B. Miller
Class B Common Stock	04/30/2019	<u>J(1)</u>	56,437	D	\$ 0	74,660	I	MDM 2014 LLC as held by The Marc Daniel Miller 2018 GRAT
Class B Common Stock	04/30/2019	<u>J(1)</u>	56,437	A	\$ 0	101,333	I	MDM 2014 LLC as held by Alan B. Miller
Class B Common Stock	04/30/2019	<u>J(2)</u>	62,275	D	\$ 0	0	I	AMK 2014 LLC as held by Alan B. Miller
Class B Common Stock	04/30/2019	<u>J(2)</u>	62,275	A	\$ 0	62,275	I	AMK 2014 LLC as held by The Abby Miller King 2019 GRAT
Class B Common Stock	04/30/2019	<u>J(3)</u>	62,275	D	\$ 0	0	I	MS 2014 LLC as held by Alan B. Miller
Class B Common Stock	04/30/2019	<u>J(3)</u>	62,275	A	\$ 0	62,275	I	MS 2014 LLC as held by The Marni Spencer 2019 GRAT
Class B Common Stock	04/30/2019	<u>J(4)</u>	101,333	D	\$ 0	0	I	MDM 2014 LLC as held by Alan B. Miller
Class B Common	04/30/2019	<u>J(4)</u>	101,333	A	\$ 0	101,333	I	MDM 2014 LLC as held

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Stock				by the Marc Daniel Miller 2019 GRAT
Class B Common Stock	1,156,860	D		
Class B Common Stock	16,748	I		AMK 2014 LLC held by The Abby Danielle Miller 2002 Trust
Class B Common Stock	55,763	I		By The Abby Miller King 2011 Family Trust
Class B Common Stock	24,007	I		MDM 2014 LLC held by The Marc Daniel Miller 2002 Trust
Class B Common Stock	16,748	I		MS 2014 LLC held by The Marni Spencer 2002 Trust
Class B Common Stock	10,810	I		The Alan and Jill Miller Foundation
Class B Common Stock	59,900	I		The Marc Daniel Miller 2011 Family Trust
Class B Common Stock	55,763	I		The Marni Spencer 2011 Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Class A Common Stock	(5)	04/30/2019		J(1)		30,956		(6)	(7)	Class B Common Stock	30,956
Class A Common Stock	(5)	04/30/2019		J(1)	30,956			(8)	(9)	Class B Common Stock	30,956
Class A Common Stock	(5)	04/30/2019		J(1)		30,956		(6)	(7)	Class B Common Stock	30,956
Class A Common Stock	(5)	04/30/2019		J(1)	30,956			(8)	(9)	Class B Common Stock	30,956
Class A Common Stock	(5)	04/30/2019		J(1)		42,328		(6)	(7)	Class B Common Stock	42,328

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Class A Common Stock	<u>(5)</u>	04/30/2019	J <sup>(1)</sup>	42,328	<u>(8)</u>	<u>(9)</u>	Class B Common Stock	42,32
Class A Common Stock	<u>(5)</u>	04/30/2019	J <sup>(2)</sup>	62,275	<u>(8)</u>	<u>(9)</u>	Class B Common Stock	62,27
Class A Common Stock	<u>(10)</u>	04/30/2019	J <sup>(2)</sup>	62,275	<u>(11)</u>	<u>(12)</u>	Class B Common Stock	62,27
Class A Common Stock	<u>(5)</u>	04/30/2019	J <sup>(3)</sup>	62,275	<u>(8)</u>	<u>(9)</u>	Class B Common Stock	62,27
Class A Common Stock	<u>(10)</u>	04/30/2019	J <sup>(3)</sup>	62,275	<u>(11)</u>	<u>(12)</u>	Class B Common Stock	62,27
Class A Common Stock	<u>(5)</u>	04/30/2019	J <sup>(4)</sup>	75,999	<u>(8)</u>	<u>(9)</u>	Class B Common Stock	75,99
Class A Common Stock	<u>(10)</u>	04/30/2019	J <sup>(4)</sup>	75,999	<u>(11)</u>	<u>(12)</u>	Class B Common Stock	75,99

Class A Common Stock	<u>(5)</u>		<u>(6)</u>	<u>(7)</u>	Class B Common Stock	16,74
Class A Common Stock	<u>(5)</u>		<u>(13)</u>	<u>(9)</u>	Class B Common Stock	4,155,2
Class A Common Stock	<u>(5)</u>		<u>(6)</u>	<u>(14)</u>	Class B Common Stock	400,00
Class A Common Stock	<u>(5)</u>		<u>(6)</u>	<u>(7)</u>	Class B Common Stock	18,00
Class A Common Stock	<u>(5)</u>		<u>(6)</u>	<u>(7)</u>	Class B Common Stock	16,74
Class A Common Stock	<u>(15)</u>		<u>(13)</u>	<u>(7)</u>	Class B Common Stock	258,63

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MILLER ALAN B UNIVERSAL HEALTH SERVICES, INC. 367 SOUTH GULPH ROAD KING OF PRUSSIA, PA 19406	X	X	Chairman and CEO	

## Signatures

/s/ Steve Filton, Attorney-in-Fact for Alan B.  
Miller

05/01/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On April 30, 2019, The Marc Daniel Miller 2018 GRAT distributed 28.21838% of the MDM 2014 LLC to the MDM 2014 LLC as held by Alan B. Miller, The Abby Miller King 2018 GRAT distributed 30.95556% of the AMK 2014 LLC to the AMK 2014 LLC as held by Alan B. Miller, and The Marni Spencer 2018 GRAT distributed 30.95556% of the MS 2014 LLC to the MS 2014 LLC as held by Alan B. Miller. Mr. Miller's pecuniary interest in these shares is unchanged. All distributions hereinbefore described were made pursuant to the terms of the Trust Agreement creating each 2018 GRAT.

(2) On April 30, 2019, Alan B. Miller contributed 62.27585% of AMK 2014 LLC to The Abby Miller King 2019 GRAT. These shares were held by the AMK 2014 LLC as held by Alan B. Miller.

(3) On April 30, 2019, Alan B. Miller contributed 62.27585% of MS 2014 LLC to The Marni Spencer 2019 GRAT. These shares were held by the MS 2014 LLC as held by Alan B. Miller.

(4) On April 30, 2019, Alan B. Miller contributed 50.66610% of the MDM 2014 LLC to The Marc Daniel Miller 2019 GRAT. These shares were held by the MDM 2014 LLC as held by Alan B. Miller.

(5) The Class A Common Stock is convertible into Class B Common Stock on a share for share basis.

(6) Immediately.

(7) Not Applicable.

(8) immediately

(9) Not Applicable

(10) The Class A Common Stock is convertible into Class B Common Stock on a share for share basis.

(11) Immediately.

(12) Not Applicable.

(13) Immediately

(14) Not applicable.

(15) The Class A Common Stock is convertible into Class B Common Stock on a share for share basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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