Edgar Filing: Santos Esteban - Form 4

Santos Este Form 4	ban									
May 03, 20	19									
FORM	ЛЛ							OMB AF	PROVAL	
	UNITED	STATES S		ES AND E ton, D.C.			OMMISSION	OMB Number:	3235-0287	
Check t if no lou subject Section Form 4 Form 5 obligati may cou <i>See</i> Inst	nger to 16. or Filed pu ons ntinue.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						Expires: Estimated a burden hour response		
1(b).										
(Print or Type	Responses)									
1. Name and Address of Reporting Person <u>*</u> Santos Esteban			2. Issuer Name and Ticker or Trading Symbol AMGEN INC [AMGN]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (3. Date of Earliest Transaction			(Check	(Check all applicable)			
ONE AMGEN CENTER DRIVE			(Month/Day/Year) 05/01/2019				Director 10% Owner X Officer (give title Other (specify below) below) EVP, Operations			
			4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
THOUSAN OAKS, CA	ND A 91320-1799						Form filed by Mc Person			
(City)	(State)	(Zip)	Table I - N	on-Derivati	ve Secı	ırities Acqu	ired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Da any (Month/Day/Y	Code	actionor Disp (Instr. 3	osed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	05/01/2019		Code F	V Amoun 597	t (D) D	Price \$ 179.3232	35 564 (1) (2)	D		
Common Stock							733.5988 <u>(3)</u>	I	401K Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
reporting o when runne / runness	Director	10% Owner	Officer	Other				
Santos Esteban ONE AMGEN CENTER DRIVE THOUSAND OAKS, CA 91320-179	9		EVP, Operations					
Signatures								
/s/ Esteban 05/02/20 Santos)19							

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares include the following Restricted Stock Units (RSUs) granted under the Company's equity plans: 686 RSUs which vest in installments of 338 on 5/3/2019 and 348 on 5/3/2020; 7,694 RSUs which vest in one installment of 3,789 on 8/1/2019 and one installment of 3,905 on 8/1/2020; 2,308 RSUs which vest in installments of 1,137 on 5/1/2020 and 1,171 on 5/1/2021; and 3,155 RSUs which vest in

(1) of 3,905 on 8/1/2020, 2,906 RS05 which vest in installments of 1,157 on 3/1/2020 and 1,177 on 3/1/2021, and 3,155 RS05 which vest in two equal installments of 1,041 on 4/27/2020 and 4/27/2021 and 1,073 on 4/27/2022. These shares also include RSUs which vested on January 30, 2019, as reported on a Form 4 filed by the reporting person with the SEC on February 1, 2019. Vested RSUs will be paid in shares of the Company's common stock on a one-to-one basis.

These shares include 881 Dividend Equivalents (DEs) granted pursuant to the Amgen Inc. Amended and Restated 2009 Equity Incentive Plan and subject to a qualifying dividend reinvestment plan. DEs are credited to the reporting person's unvested RSUs and are paid out in shares of the Company's common stock on a one-to-one basis according to the vesting schedule, along with a cash payment for any

(3) These shares are acquired under the Company's 401(k) Plan and represent interests in the Company's stock fund as of this filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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