AGCO CORP/DE Form 4

April 02, 2015

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

**SECURITIES** 

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

2. Issuer Name and Ticker or Trading

AGCO CORP /DE [AGCO]

3. Date of Earliest Transaction

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

SRINIVASAN MALLIKA

(First) (Middle)

(Last)

TRACTORS & FARM EQUIPMENT LTD OLD NO. 35, NEW NO. 77,

NUNGAMBAKKAM HIGH ROAD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

(Month/Day/Year)

04/01/2015

Symbol

CHENNAI, K7 600 034

(Month/Day/Year)

**OMB APPROVAL** 

OMB Number:

3235-0287

January 31, Expires:

Estimated average burden hours per

response...

0.5

2005

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

X\_ Director X 10% Owner \_ Other (specify Officer (give title

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Direct (D)

Ownership

(Instr. 4)

Person

Owned

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 7. Nature of 5. Amount of Indirect Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial

(Instr. 8)

Following or Indirect Reported (I) (A) Transaction(s) (Instr. 4)

(Instr. 3 and 4) (D) Price Code V Amount

By TAFE \$ Common 39,204 Motors and P 47.49 04/01/2015  $3,107,525 \stackrel{(3)}{=} I$ (1) Stock **Tractors** (2) Limited

By Tractors

Common and Farm  $8,132,950 \stackrel{(4)}{=} I$ Stock Equipment Limited

Common 6,579 (5) D Stock

#### Edgar Filing: AGCO CORP /DE - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Deletionships

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	. 5. 6. Date Exercisa		cisable and	7. Title	and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	Expiration Date		t of	Derivative
Security	or Exercise		any	Code	of	(Month/Day	/Year)	Underly	ying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	<ol><li>B) Derivati</li></ol>	ve		Securiti	ies	(Instr. 5)
	Derivative				Securiti	es		(Instr. 3	3 and 4)	
	Security				Acquire	d				
					(A) or					
					Dispose	d				
					of (D)					
					(Instr. 3	,				
					4, and 5	)				
								,	Amount	
									or	
						Date	Expiration Date		Number	
						Exercisable			of	
				Code	V (A) (D	)			Shares	

## **Reporting Owners**

Reporting Owner Name / Address		Kelationships					
Tripy to the state of the state	Director	10% Owner	Officer	Other			
SRINIVASAN MALLIKA TRACTORS & FARM EQUIPMENT LTD OLD NO. 35 NEW NO. 77, NUNGAMBAKKAM HIGH ROAD CHENNAI, K7 600 034	X	X					
Tractors & Farm Equipment Ltd OLD NO. 35, NEW NO. 77 NUNGAMBAKKAM HIGH ROAD CHENNAI, K7 600 034		X					
TAFE Motors & Tractors Ltd OLD NO. 35, NEW NO. 77 NUNGAMBAKKAM HIGH ROAD CHENNAI, K7 600 034		X					

# **Signatures**

/s/ Margaret R. Markman, Attorney-in-Fact for Tractors and Farm Equipment Limited		
**Signature of Reporting Person	Date	
/s/ Margaret R. Markman, Attorney-in-Fact for Mallika Srinivasan	04/02/2015	
**Signature of Reporting Person	Date	

Reporting Owners 2

/s/ Margaret R. Markman, Attorney-in-Fact for TAFE Motors and Tractors Limited

04/02/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were purchased pursuant to a Rule 10b5-1 trading plan entered into by Tractors and Farm Equipment Limited ("TAFE") and TAFE Motors and Tractors Limited ("TMTL") on February 27, 2015.
- The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$47.21 to \$47.90. The reporting persons undertake to provide to AGCO Corporation, any security holder of AGCO Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote (2).
  - These shares are owned directly by TMTL, which is a wholly owned subsidiary of TAFE. TAFE is an indirect beneficial owner of these shares. Mallika Srinivasan is an officer of TMTL and is a beneficial owner of certain equity securities of TMTL. Ms. Srinivasan disclaims
- (3) beneficial ownership of the shares reported in Column 5 of this row except to the extent of Ms. Srinivasan's beneficial ownership of equity securities of TMTL, and this report shall not be deemed an admission that Ms. Srinivasan is the beneficial owner of such shares for purposes of Section 16 or for any other purpose.
  - These shares are owned directly by TAFE. Mallika Srinivasan is an officer of TAFE and is a beneficial owner of certain equity securities of TAFE. Ms. Srinivasan disclaims beneficial ownership of the shares reported in Column 5 of the row except to the extent of Ms.
- (4) Srinivasan's beneficial ownership of equity securities of TAFE, and this report shall not be deemed an admission that Ms. Srinivasan is the beneficial owner of such shares for purposes of Section 16 or for any other purpose. TMTL also disclaims beneficial ownership of the shares reported in Column 5 of the row, and this report shall not be deemed an admission that TMTL is the beneficial owner of such shares for purposes of Section 16 or for any other purpose.
- These shares reflect awards to Mallika Srinivasan for her services as a director of AGCO Corporation under the AGCO Corporation 2006

  Long-Term Stock Incentive Plan. TAFE and TMTL disclaim beneficial ownership of the shares reported in Column 5 of this row, and this report shall not be deemed an admission that either TAFE or TMTL is the beneficial owner of such shares for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3