Evolent Health, Inc. Form 4
March 03, 2016

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

Number: 3235-0287 January 31,

Estimated average

Expires:

burden hours per response...

10% Owner

Other (specify

0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

obligations may continue. See Instruction

See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Blackley Seth

2. Issuer Name **and** Ticker or Trading

Symbol

Evolent Health, Inc. [EVH]

3. Date of Earliest Transaction

(Middle)

(Zip)

(Month/Day/Year) 03/01/2016

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

C/O EVOLENT HEALTH, INC., 800 N. GLEBE ROAD, SUITE

(Street)

(State)

(First)

500

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

President

Applicable Line)

Director

X\_ Officer (give title

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

Persor

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

ARLINGTON, VA 22203

1 - Non-Derivative Securities Acquired, Disposed of, or Deficiently Owned				
4. Securities Acquired	5. Amount of	6. Ownership	7. Nature of	
n(A) or Disposed of	Securities	Form: Direct	Indirect	
(D)	Beneficially	(D) or	Beneficial	
(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership	
	Following	(Instr. 4)	(Instr. 4)	
(4)	Reported			
	Transaction(s)			
Amount (D) Price	(Instr. 3 and 4)			
21.646				
$\frac{31,646}{(2)}$ A \$0	823,646	D		
r	(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price	(D) Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)  Amount (D) Price (Instr. 3 and 4)	(A) or Disposed of (D) Beneficially (D) or (Instr. 3, 4 and 5) Owned Following (Instr. 4)  (A) Following Reported Transaction(s) (Instr. 3 and 4)  Amount (D) Price (Instr. 3 and 4)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class A Common Stock Option (right to buy)	\$ 10.27	03/01/2016		A	70,324	(3)	03/01/2026	Class A Common Stock	70,324
Class A Common Stock Option (right to buy)	\$ 13.35	03/01/2016		A	35,162	<u>(4)</u>	03/01/2026	Class A Common Stock	35,162
Class A Common Stock Option (right to buy)	\$ 16.43	03/01/2016		A	35,162	<u>(4)</u>	03/01/2026	Class A Common Stock	35,162
Class A Common Stock Option (right to buy)	\$ 19.51	03/01/2016		A	35,161	<u>(4)</u>	03/01/2026	Class A Common Stock	35,161

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Blackley Seth					
C/O EVOLENT HEALTH, INC.			President		
800 N. GLEBE ROAD, SUITE 500					
ARLINGTON, VA 22203					

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### **Signatures**

/s/ Jonathan Weinberg, Attorney-in-fact

03/03/2016

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock units granted to Mr. Blackley pursuant to the Evolent Health, Inc. 2015 Omnibus Incentive Compensation Plan.
- (2) Securities vest at a rate of 25% annually beginning March 1, 2017.
- (3) Securities vest at a rate of 25% annually beginning March 1, 2017.
- (4) Securities cannot vest before the 3rd anniversary of the grant date. No more than 50% of the securities may vest between the 3rd and 4th anniversaries of the grant date. There is unlimited vesting of the securities after the 4th anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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