Edgar Filing: FARMER GERALD I - Form 4

Form 4 February 27, 2009 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 1 (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Check this box if no longer subject to Section 16. Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB Number: 2005 Filed pursuant to Section 16(a) of the Public Utility Holding Company Act of 1934, obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 16(b). State Name and Ticker or Trading Symbol State Instruction (Month/Day/Year) State Instruction (Month/Day/Year) State Instruction (Month/Day/Year) State Instruction (Month/Day/Year) State Instruction (Month/Day/Year) State Instruction (Month/Day/Year) State Of Instruction (Month/Day/Year) State Instruction (Month/Day/Year) State Of Instruction (Month /Day/Year) State Of Instruction (Month /Day/Year) State Of Instruction (Month /Day/Year) State Of Instruction (Month/Day/Year) State Of Instruction
Check this box if no longer subject to Section 16. Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB Number: 3235-0287 Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Form 4 or Form 5 Statement of changes in beneficial ownership of section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Statement of securities Exchange Act of 1934, obligations may continue. See Instruction 1(b). OMB Sumber: 30(h) of the Investment Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). Statement of securities Exchange Act of 1934, 30(h) of the Investment Company Act of 1940 1(b). Statement of securities Exchange Act of 1935 or Section 10(h). Statement of securities Exchange Act of 1935 or Section 10(h) of the Investment Company Act of 1940 1(b). Statement of securities Exchange Act of 1940 1(b). Statement of securities Exchange Act of 1935 or Section 10(h) of the Investment Company Act of 1940 1(b). Statement of securities Exchange Act of 1940 1(b). Statement of securities Exchange Act of 1940 1(b). State of securities Exchange Act of 1940 1(c). St
Check this box if no longer subject to Section 16. Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB Number: 3235-0287 Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Form 4 or Form 5 Expires: 2005 Section 16. Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Investment Company Act of 1940 Section 17(a) of the Investment Company Act of 1940 (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) Middle) 3. Date of Earliest Transaction (Month/Day/Year) X_Director Officer (give title below) 10% Owner Other (specify below) 8911 BALBOA AVENUE, SUITE 200 02/25/2009
Check this box if no longer subject to Scction 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person ¹ FARMER GERALD I (Last) (First) (Middle) 8911 BALBOA AVENUE, SUITE 200 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES SECURITY SECURITIES
if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Expires: Current of the provide the providet the provide the provide the provide the provide the p
subject to Section 16. SECURITIES Estimated average burden hours per response 0.5 Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). 1935 or Section 1940 0.5 (Print or Type Responses) 30(h) of the Investment Company Act of 1940 1940 1 1. Name and Address of Reporting Person * FARMER GERALD I 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) -X_Director 02/25/2009 -10% Owner
Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). 0.5 (Print or Type Responses) 30(h) of the Investment Company Act of 1940 1(b). 1940 1. Name and Address of Reporting Person [*] . FARMER GERALD I 2. Issuer Name and Ticker or Trading Symbol MITEK SYSTEMS INC [MITK] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) -X_ Director
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). (Print or Type Responses) 30(h) of the Investment Company Act of 1940 1(b). 1. Name and Address of Reporting Person [*] . 2. Issuer Name and Ticker or Trading Symbol MITEK SYSTEMS INC [MITK] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) 8911 BALBOA AVENUE, SUITE 02/25/2009 — — 10% Owner Dother (specify below)
obligations may continue. See Instruction 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting Person* 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Check all applicable) 8911 BALBOA AVENUE, SUITE 02/25/2009 $-X_{-}$ Director below) 10% Owner below)
may continue. 30(h) of the Investment Company Act of 1940 1(b). 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol I. Name and Address of Reporting Person* 2. Issuer Name and Ticker or Trading Symbol (Last) (First) (Last) (First) (Month/Day/Year) -X_ Director 02/25/2009 -X_ Director -X_ Director -10% Owner 02/25/2009 -X_ Director -X_ Director -10% Owner below) Officer (give title
1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading Symbol MITEK SYSTEMS INC [MITK] (Last) (First) Middle) 3. Date of Earliest Transaction (Month/Day/Year) -X_ Director 02/25/2009 -X_ Director -X_ Director -10% Owner below) -10% Owner below)
(Print or Type Responses) 1. Name and Address of Reporting Person * FARMER GERALD I 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Check all applicable) 8911 BALBOA AVENUE, SUITE 200 02/25/2009 -XDirector 02/25/2009 -XDirector below) -MOW Owner Officer (give title below)
1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer FARMER GERALD I 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Check all applicable) 8911 BALBOA AVENUE, SUITE 200 02/25/2009 -X_ Director Hellow) -10% Owner Hellow)
FARMER GERALD I Symbol Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)
FARMER GERALD I Symbol Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)
MITEK SYSTEMS INC [MITK] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)
(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)
(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) _X_Director _10% Owner 8911 BALBOA AVENUE, SUITE 02/25/2009 Officer (give title below) Other (specify below) 200 Officer (give title below) Other (specify below)
8911 BALBOA AVENUE, SUITE 02/25/2009 Officer (give title below) Other (specify below) 200 00 00 00 00
200 below) below)
(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check
Filed(Month/Day/Year) Applicable Line)
X Form filed by One Reporting Person Form filed by More than One Reporting
SAN DIEGO, CA 92123
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned
1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of
Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect
(Instr. 3) any Code Disposed of (D) Beneficially (D) or Indirect Beneficial (Manth/Day(Yaon) (Jasta 8) (Jasta 2 4 and 5) Owned (D) Ownership
(Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned (I) Ownership Following (Instr. 4) (Instr. 4)
Reported
(A) Transaction(s) or Control (A)
Code V Amount (D) Price (Instr. 3 and 4)
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.
Persons who respond to the collection of SEC 1474
information contained in this form are not (9-02)

Persons who respond to the collection of SEC 14' information contained in this form are not (9-0 required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securities	D
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	S

Edgar Filing: FARMER GERALD I - Form 4

(Instr. 3)	Price of Derivative Security	(Month/Day/Yea	·) (Instr. 8)	Acquired or Dispose (D) (Instr. 3, 4 and 5)	ed of					(
			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 0.09	02/25/2009	А	25,000		<u>(1)</u>	02/25/2012	Common Stock	25,000	

Reporting Owners

Reporting Owner Name / Address		Relationships					
F8	reporting of the rank / rank of		10% Owner	Officer	Other		
FARMER GERALD I 8911 BALBOA AVEN SAN DIEGO, CA 921	NUE, SUITE 200	Х					
Signatures							
/s/ Gerald I. Farmer	02/27/2009						
<u>**Signature of</u>	Date						

Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Option fully vested at grant date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

(