

Edgar Filing: MMA CAPITAL MANAGEMENT, LLC - Form 8-K

MMA CAPITAL MANAGEMENT, LLC  
Form 8-K  
May 23, 2016

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): May 17, 2016

MMA Capital Management, LLC

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(Exact name of registrant as specified in its charter)

Delaware  
(State or other jurisdiction  
of incorporation)

001-11981 52-1449733  
(Commission (I.R.S. Employer  
File Number) Identification No.)

3600 O'Donnell St, Suite 600, Baltimore, Maryland  
(Address of principal executive offices)

21224  
(Zip Code)

Registrant's telephone number, including area code:

(443) 263-2900

Not Applicable

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Former name or former address, if changed since last report

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

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- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  - ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  - ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  - ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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Item 5.07 Submission of Matters to a Vote of Security Holders

On May 17, 2016, the Company held its annual meeting of shareholders. At that meeting, the shareholders of the Company elected Michael L. Falcone, Francis X. Gallagher, Jr. and Frederick W. Puddester to the Board of Directors for a three-year term, approved the compensation of the named executive officers of the Company and ratified the appointment of KPMG, LLP as independent registered public accountant for the calendar year ending 2016. As to these matters, the numbers of votes cast for or against, as well as the number of abstentions and broker non-votes, are as set forth below:

1. Election of directors nominated by MMA Capital Management, LLC to serve for a term to expire in 2019 and until their successors are duly elected and qualified:

Nominee	Votes			Broker
	For	Against	Abstained	
Michael L. Falcone	1,957,213	106,559	20,536	3,304,338
Francis X. Gallagher, Jr.	1,609,020	450,004	25,284	3,304,338
Frederick W. Puddester	1,609,825	449,309	25,174	3,304,338

2. The non-binding Advisory Vote on Executive Compensation:

Votes			Broker
For	Against	Abstained	
1,511,267	405,231	167,810	3,304,338

3. Ratification of KPMG, LLP as independent registered public accounting firm for 2016:

Votes			Broker
For	Against	Abstained	
4,942,537	417,260	28,849	0

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

MMA Capital Management, LLC

May 23, 2016    By:    /s/ Michael L. Falcone  
                                 Name: Michael L. Falcone  
                                 Title: Chief Executive Officer and President

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