## Edgar Filing: Larocca Christopher M - Form 4

Larocca Chris Form 4	-											
February 23, 2										PPROVAL		
FORM	<b>4</b> UNITED S	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940										
Check this if no longe subject to Section 16. Form 4 or Form 5 obligations may contin <i>See</i> Instruc 1(b).	Filed purst Filed purst Section 17(a)											
(Print or Type Re	esponses)											
1. Name and Address of Reporting Person <u>*</u> Larocca Christopher M			2. Issuer Name <b>and</b> Ticker or Trading Symbol EMCORE CORP [EMKR]					5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (M	iddle)	3. Date of Earliest Transaction					(Che	(Check all applicable)			
10420 RESEARCH ROAD SE			(Month/Day/Year) 02/18/2011					Director 10% Owner XOfficer (give titleOther (specify below) below) Chief Operating Officer				
ALBUQUER		4. If Amendment, Date Original Filed(Month/Day/Year)					<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> <li>Person</li> </ul>					
(City)		Zip)	Table	I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	f or Beneficia	llv Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deen Execution any	ned n Date, if	3. Transactic Code (Instr. 8)	4. Securi onAcquirec Disposed (Instr. 3,	ties l (A) o l of (D 4 and (A) or	or )) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of		
Emcore Common Stock	02/18/2011			Code V A	Amount 416 (2)		Price \$ 2.4	103,235 <u>(3)</u>	D			
Emcore Common Stock								18,896	I	By Trust 401(k) (1)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
1 0	Director	10% Owner	Officer	Other				
Larocca Christopher M 10420 RESEARCH ROAD SE ALBUQUERQUE, NM 87123			Chief Operating Officer					
Signatures								

Alfredo Gomez, attorney-in-fact 02/23/2011

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares attributable to EMCORE Corporation 401(k) account as of January 31, 2011.
- (2) Shares were purchased under the Company's Director and Officer Share Purchase Plan in a transaction exempt from Section 16b pursuant to Rule 16b-3(d).
- (3) Includes 70,000 shares of restricted stock granted under the Company's 2010 Equity Incentive Plan, vesting in three equal annual installments beginning on January 28, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.